

## HOMOGENEOUS EINSTEIN SPACES OF DIMENSION FOUR

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### Introduction

Given a manifold  $M$  with a Riemannian metric  $g_{ij}$ , Riemannian curvature tensor  $R_{ijkl}$ , and Ricci tensor  $R_{ij} = \sum_k R_{kikj}$ , then  $M$  is defined to be an Einstein space if  $R_{ij} = \lambda g_{ij}$  for some scalar function  $\lambda$  on  $M$ . In fact,  $\lambda = R/n$ , where  $R = \sum_i R_{ii}$  is the scalar curvature of  $M$  and  $n = \dim M$ . It is a classical theorem that, for  $n \geq 3$ ,  $\lambda$  is a constant if  $M$  is connected. A general problem of Riemannian geometry is the determination of all Einstein spaces.

It is also of interest to consider pseudo-Riemannian metrics  $g_{ij}$ . Indeed, in the case of  $\dim M = 4$  and for a Lorentzian metric, (signature  $+++ -$  say), the equations  $R_{ij} = \lambda g_{ij}$  are specializations of Einstein field equations of General Relativity:  $R_{ij} - \frac{1}{2} g_{ij} = T_{ij}$ , where  $T_{ij}$  is some tensor field with variously specified properties.

From the physicist's point of view  $M$  is often not specified. Indeed  $M$  is often, on first consideration, taken to be an open subset of Euclidean space and the Einstein equations are solved locally. However, our point of view differs from theirs in that we desire to know whether a given manifold can be an Einstein space. This question has interest only for  $\dim M \geq 4$ , since all two dimensional Riemannian manifolds are Einstein spaces, and any three-dimensional Einstein space necessarily has constant curvature.

A fairly comprehensive list of known Einstein spaces is given by M. Berger [1, pp. 41, 42] and J. A. Wolf [9].

A natural starting point for the determination of Einstein spaces is with four-dimensional homogeneous Riemannian spaces. It is the purpose of this paper to determine all such spaces which are Einstein spaces. A homogeneous space  $M$  can be represented as a quotient  $G/H$ , where  $G$  is a transitive group of isometries on  $M$  and  $H$  is the isotropy group at some point  $p_0 \in M$ . In Chapter II it will be seen that when  $G$  is large enough, for example when  $\dim H > 1$ , then the solution of the problem is fairly easy. However, when  $G$

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is small, for example when  $G$  acts simply transitively on  $M$ , the problem seems to be much more difficult. For this reason we were led into some general investigations of group manifolds, that is, a manifold with a group of isometries acting simply transitively on it. The results of this investigation are contained in Chapter I. Chapter II deals with the situation when  $G$  is large enough, as mentioned above, and finally Chapter III contains the determination of all four-dimensional group manifolds which are Einstein spaces.

All four-dimensional, simply connected, homogenous Einstein spaces were found and they are listed in the following table. All of these spaces are Riemannian symmetric spaces. An interesting problem therefore arises as to whether a direct proof of this fact can be found. However, in higher dimensions a homogenous Einstein space is not necessarily symmetric. Indeed, there exist bounded homogeneous, but non-symmetric, complex domains of complex dimensions four and five [7]. Bounded homogeneous complex domains with the Bergmann metric are Einstein spaces (cf. [2, p. 300]). J. A. Wolf [9] has recently classified all non-symmetric isotropy irreducible homogeneous Riemannian spaces, which are Einsteinian, and his list contains a space of dimension 7.

**Four-dimensional, simply connected, homogeneous Einstein spaces**

$S =$  Ricci tensor field,  $g =$  metric tensor field on  $M$ ,  $S = \lambda g$ ,  $\lambda \in \mathbf{R}$ .

$\lambda$	$M$
$\lambda > 0$	$C(+, 4), P(2, \mathbf{C}), C(+, 2) \times C(+, 2)$ (equal curvature on each factor),
$\lambda = 0$	$C(0, 4),$
$\lambda < 0$	$C(-, 4), H(2, \mathbf{C}), C(-, 2) \times C(-, 2)$ (equal curvature on each factor),

where  $C\begin{pmatrix} + \\ 0 \\ - \end{pmatrix}, n =$  space of constant  $\begin{pmatrix} + \\ 0 \\ - \end{pmatrix}$  curvature and dimension  $n$ ,  $P(2, \mathbf{C}) =$  complex projective space of two complex dimensions,  $H(2, \mathbf{C}) =$  hermitian hyperbolic space of two complex dimensions.

The last four spaces listed in the table are realizable as group manifolds. Matrix representations of all the distinct Lie algebras  $\mathfrak{g}$  giving rise to them are listed below. They are all solvable.  $\mathfrak{g}$  and the metric on  $\mathfrak{g}$  are defined by taking  $X_1, \dots, X_4$  to be an orthonormal basis over the reals.

$$C(0, 4): \quad X_1 = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \quad X_2 = \left[ \begin{array}{ccc|c} & & & 1 \\ & \circ & & 0 \\ & & & 0 \\ \hline 0 & 0 & 0 & 0 \end{array} \right],$$

$$\begin{aligned}
 X_3 &= \left[ \begin{array}{ccc|c} & \circ & & 0 \\ & & & 1 \\ & & & 0 \\ \hline 0 & 0 & 0 & 0 \end{array} \right], & X_4 &= \left[ \begin{array}{ccc|c} & \circ & & 0 \\ & & & 0 \\ & & & 1 \\ \hline 0 & 0 & 0 & 0 \end{array} \right]. \\
 C(-, 4): X_1 &= \left[ \begin{array}{cccc|c} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & t & 0 & 0 \\ 0 & -t & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \end{array} \right], & X_2 &= \left[ \begin{array}{ccc|cc} & \circ & & -1 & 1 \\ & & & 0 & 0 \\ & & & 0 & 0 \\ \hline 1 & 0 & 0 & & \\ 1 & 0 & 0 & & \circ \end{array} \right], \\
 X_3 &= \left[ \begin{array}{ccc|cc} & \circ & & 0 & 0 \\ & & & -1 & 1 \\ & & & 0 & 0 \\ \hline 0 & 1 & 0 & & \\ 0 & 1 & 0 & & \circ \end{array} \right], & X_4 &= \left[ \begin{array}{ccc|cc} & \circ & & 0 & 0 \\ & & & 0 & 0 \\ & & & -1 & 1 \\ \hline 0 & 0 & 1 & & \\ 0 & 0 & 1 & & \circ \end{array} \right],
 \end{aligned}$$

where  $0 \leq t < \infty$ . Distinct  $t$  give non-isomorphic groups.

$$\begin{aligned}
 H(2, C): X_1 &= \left[ \begin{array}{ccc} -i\frac{2t}{3} & 0 & 0 \\ 0 & \frac{it}{3} & 1 \\ 0 & 1 & \frac{it}{3} \end{array} \right], & X_2 &= \begin{bmatrix} 0 & 1 & -1 \\ -1 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix}, \\
 X_3 &= \begin{bmatrix} 0 & i & -i \\ i & 0 & 0 \\ i & 0 & 0 \end{bmatrix}, & X_4 &= \begin{bmatrix} 0 & 0 & 0 \\ 0 & i & -i \\ 0 & i & -i \end{bmatrix},
 \end{aligned}$$

where  $0 \leq t < \infty$ . Distinct  $t$  give non-isomorphic groups.

$$\begin{aligned}
 C(-, 2) \times C(-, 2): X_1 &= \left[ \begin{array}{cc|c} 1 & 0 & \circ \\ 0 & 0 & \circ \\ \hline \circ & & \circ \end{array} \right], & X_2 &= \left[ \begin{array}{cc|c} 0 & 1 & \circ \\ 0 & 0 & \circ \\ \hline \circ & & \circ \end{array} \right], \\
 X_3 &= \left[ \begin{array}{c|cc} \circ & & \circ \\ \hline \circ & 1 & 0 \\ & 0 & 0 \end{array} \right], & X_4 &= \left[ \begin{array}{c|cc} \circ & & \circ \\ \hline \circ & 0 & 1 \\ & 0 & 0 \end{array} \right].
 \end{aligned}$$

## Chapter I

Throughout this chapter  $G$  will denote a connected Lie group and  $\mathfrak{g}$  will denote its Lie algebra. We regard  $\mathfrak{g}$  as the tangent space at  $e$  of  $G$ , but as a

Lie algebra,  $\mathfrak{g}$  is identified with the Lie algebra of all left-invariant vector fields on  $G$ . On  $G$  we consider a left-invariant Riemannian metric, which is determined uniquely by a positive definite inner product  $\langle, \rangle$  on  $\mathfrak{g}$ . Namely, let  $g \in G$ , and  $X, Y \in T_g(G)$ , and define  $\langle X, Y \rangle_g = \langle l_{g^{-1}}X, l_{g^{-1}}Y \rangle$ , where  $l_g$  (or  $r_g$ ) denotes left (right) multiplication by  $g$  in  $G$ . The curvature tensor  $R$  of a left-invariant metric is itself a left-invariant tensor field on  $G$ , and is therefore uniquely determined by its values on  $\mathfrak{g}$ . Thus we regard the curvature tensor  $R$  as a quadrilinear form on  $\mathfrak{g}$ .

The objectives of this chapter are to determine a formula for the curvature tensor in terms of the inner product and Lie bracket on  $\mathfrak{g}$ , to apply this formula in such a way as to classify  $G$  according to the nature of its Ricci tensor, and finally to determine what conditions a four-dimensional  $G$  must satisfy in order to be an Einstein space.

Before deriving a formula for  $R$ , it is necessary to establish some notation and conventions. The Riemannian connection on  $G$  is defined by the formula

$$(I.1) \quad 2\langle \nabla_X Y, Z \rangle = X\langle Y, Z \rangle + Y\langle X, Z \rangle - Z\langle X, Y \rangle + \langle [X, Y], Z \rangle + \langle Z, [X, Y] \rangle + \langle X, [Z, Y] \rangle,$$

where  $X, Y, Z$  are any vector fields on  $G$  (cf. [4]). Define the operator  $L_X$  by  $L_X(Y) = [X, Y]$ , and let  $A_X = \nabla_X - L_X$ . It is well known that  $A_X$  is a  $(1, 1)$  tensor field on  $G$ , which is skew-symmetric with respect to the metric if and only if  $X$  is a killing vector field. Also it is known that  $A_X Z = -\nabla_Z X$  for any vector field  $Z$  on  $G$ . (Cf. [4, pp. 235, 237]).

Let  $A \in \mathfrak{g}$ ,  $X$  and  $Y$  be, respectively, the left-invariant and right-invariant vector fields generated by  $A$ , and  $a_t = \exp tA, t \in \mathbb{R}$ . Then the 1-parameter groups of diffeomorphisms generated by  $X$  and  $Y$  are  $\{r_{a_t}\}$  and  $\{l_{a_t}\}$ , respectively. Thus  $Y$  is a killing vector field, since  $l_{a_t}$  is an isometry of  $G$  for each  $t$ . Finally, observe that if  $Z$  is any right-invariant vector field on  $G$  then  $[Z, X] = 0$ . In fact, for  $g \in G$ ,

$$\begin{aligned} [Z, X]_g &= \lim_{t \rightarrow 0} \frac{1}{t} \{r_{a_t} Z\}_g - Z_g = \lim_{t \rightarrow 0} \frac{1}{t} \{r_{a_t} Z_{g a_t^{-1}} - Z_g\} \\ &= \lim_{t \rightarrow 0} \frac{1}{t} \{r_{a_t} r_{g a_t^{-1}} Z_g - Z_g\} = \lim_{t \rightarrow 0} \frac{1}{t} (Z_g - Z_g) = 0. \end{aligned}$$

**Theorem 1.** *Let  $A$  and  $B$  be orthogonal unit vectors in  $\mathfrak{g}$ , and  $A_1, \dots, A_n$  an orthonormal basis of  $\mathfrak{g}$  with  $A_1 = A, A_2 = B$ . Then*

$$(I.2) \quad \begin{aligned} R(A, B, A, B) &= -\langle [A, [A, B]], B \rangle \\ &- \langle [A, B], [A, B] \rangle - \sum_{i=1}^n \langle A, [A, A_i] \rangle \langle B, [B, A_i] \rangle \\ &+ \frac{1}{4} \sum_i \{ \langle A, [B, A_i] \rangle + \langle B, [A, A_i] \rangle + \langle A_i, [A, B] \rangle \}^2. \end{aligned}$$

*Proof.* Let  $X = X_1, \dots, X_n$  be the left-invariant vector fields generated by  $A_1, \dots, A_n$ , respectively, and  $Y$  the right-invariant vector field generated by  $B$ . A well-known formula for  $R$  is

$$R(X, Y, X, Y) = \langle \nabla_x \nabla_y Y - \nabla_y \nabla_x Y, X \rangle,$$

since  $[X, Y] = 0$ . But now  $Y$  is a Killing field and  $\nabla_y X - \nabla_x Y = [Y, X] = 0$ . Thus

$$\langle \nabla_y \nabla_x Y, X \rangle = -\langle \nabla_x Y, \nabla_y X \rangle + Y \langle \nabla_x Y, X \rangle = -\langle \nabla_x Y, \nabla_x Y \rangle,$$

since

$$\langle \nabla_x Y, X \rangle = -\langle A_y X, X \rangle = \langle X, A_y X \rangle = -\langle X, \nabla_x Y \rangle.$$

This gives the following formula for  $R$ :

$$(1.3) \quad R(X, Y, X, Y) = \langle \nabla_x \nabla_y Y, X \rangle + \langle \nabla_x Y, \nabla_x Y \rangle.$$

In the following,  $g$  will denote a point in  $G$ , and  $e$  the identity element of  $G$ . The first step is to determine the vector  $\nabla_x Y$  in terms of the orthonormal frame field  $X_1, \dots, X_n$ . Making use of (I.1) we have

$$\begin{aligned} \langle \nabla_x Y, X_i \rangle &= \frac{1}{2} \{ X \langle Y, X_i \rangle - X_i \langle Y, X \rangle + \langle Y, [X_i, X] \rangle + Y \langle X_i, X \rangle \\ &\quad + \langle X, [X_i, Y] \rangle + \langle X_i, [X, Y] \rangle \} \\ &= \frac{1}{2} \{ X \langle Y, X_i \rangle - X_i \langle Y, X \rangle + \langle Y, [X_i, X] \rangle \}, \end{aligned}$$

since  $\langle X_i, X \rangle$  is a constant on  $G$  and  $[X_i, Y] = 0$ ,  $i = 1, \dots, n$ . Let  $a_i^t = \exp tA_i$ ,  $t \in \mathbb{R}$ ,  $i = 1, \dots, n$ . Then

$$\begin{aligned} X \langle Y, X_i \rangle(g) &= X \langle ad(g^{-1})B, A_i \rangle = \left\langle \frac{d}{dt} \Big|_{t=0} ad(a_{-t})ad(g^{-1})B, A_i \right\rangle \\ &= -\langle [A, ad(g^{-1})B], A_i \rangle. \end{aligned}$$

Thus  $X \langle Y, X_i \rangle(e) = -\langle [A, B], A_i \rangle$ .

Likewise,  $X_i \langle Y, X \rangle(e) = -\langle [A_i, B], A \rangle$ . So

$$(1.4) \quad \langle \nabla_x Y, X_i \rangle(e) = \frac{1}{2} \{ -\langle [A, B], A_i \rangle + \langle A_i, B \rangle, A \rangle + \langle B, [A_i, A] \rangle \},$$

$$(1.5) \quad \begin{aligned} \langle \nabla_x Y, \nabla_x Y \rangle(e) \\ = \frac{1}{4} \sum_{i=1}^n \{ \langle A, [B, A_i] \rangle + \langle B, [A, A_i] \rangle + \langle A_i, [A, B] \rangle \}^2. \end{aligned}$$

We next determine  $\nabla_y Y$  in terms of  $X_1, \dots, X_n$ . By (I.1) and  $[X_i, Y] = 0$ , we have  $\langle \nabla_y Y, X_i \rangle = Y \langle Y, X_i \rangle - \frac{1}{2} X_i \langle Y, Y \rangle$ . But

$$\begin{aligned} Y \langle Y, X_i \rangle(g) &= Y \langle ad(g^{-1})B, A_i \rangle = \left\langle \frac{d}{dt} \Big|_{t=0} ad(g^{-1})ad(a_{-t}^2)B, A_i \right\rangle \\ &= \langle -ad(g^{-1})[B, B], A_i \rangle = 0, \end{aligned}$$

$$\begin{aligned} X_i \langle Y, Y \rangle(g) &= \frac{d}{dt} \Big|_{t=0} \langle ad(a_{-t}^i)ad(g^{-1})B, ad(a_{-t}^i)ad(g^{-1})B \rangle \\ &= -2 \langle A_i, ad(g^{-1})B, ad(g^{-1})B \rangle. \end{aligned}$$

Thus  $\nabla_y Y = \sum_{i=1}^n \langle [A_i, ad(g^{-1})B], ad(g^{-1})B \rangle X_i$ .

Finally, we compute  $\nabla_x \nabla_y Y$  from this expression. Since

$$\begin{aligned} \nabla_x \nabla_y Y &= \sum_i \{ X \langle [A_i, ad(g^{-1})B], ad(g^{-1})B \rangle X_i + \langle [A_i, ad(g^{-1})B], ad(g^{-1})B \rangle \nabla_x X_i \}, \end{aligned}$$

we have

$$\begin{aligned} \langle \nabla_x \nabla_y Y, X \rangle &= X \langle [A, ad(g^{-1})B], ad(g^{-1})B \rangle + \sum_i \langle [A_i, ad(g^{-1})B], ad(g^{-1})B \rangle \langle \nabla_x X_i, X \rangle. \end{aligned}$$

Now

$$\begin{aligned} X \langle [A, ad(g^{-1})B], ad(g^{-1})B \rangle(e) &= \frac{d}{dt} \Big|_{t=0} \langle [A, ad(a_{-t})ad(g^{-1})B], ad(a_{-t})ad(g^{-1})B \rangle(e) \\ &= - \langle [A, [A, B]], B \rangle - \langle [A, B], [A, B] \rangle, \end{aligned}$$

and  $\langle \nabla_x X_i, X \rangle(e) = \langle [A, A_i], A \rangle$ , from (I.1). Putting these together we obtain

$$(I.6) \quad \begin{aligned} \langle \nabla_x \nabla_y Y, X \rangle(e) &= - \langle [A, [A, B]], B \rangle - \langle [A, B], [A, B] \rangle \\ &\quad - \sum_i \langle A, [A, A_i] \rangle \langle B, [B, A_i] \rangle. \end{aligned}$$

The formula for  $R(A, B, A, B)$  now follows immediately from (I.3), (I.5) and (I.6).

As an immediate application of the above formula for  $R$  we have

**Theorem 2.** Denote the Ricci tensor of  $G$  by  $S$  and regard  $S$  as a symmetric bilinear form on  $\mathfrak{g}$ . If  $X \in \mathfrak{g}$  is a central element, i.e.,  $[X, Y] = 0$  for every  $Y \in \mathfrak{g}$ , then  $S(X, X) \geq 0$ , and  $S(X, X) = 0$  if and only if  $\langle X, \mathfrak{g}' \rangle = 0$ , where  $\mathfrak{g}' = [\mathfrak{g}, \mathfrak{g}]$  is the derived algebra of  $\mathfrak{g}$ .

*Proof.* Let  $X_1, \dots, X_n$  be an orthonormal basis of  $\mathfrak{g}$  with  $X_1 = X$ . Then  $S(X, X) = \sum_{i=1}^n R(X, X_i, X, X_i)$ . Now use formula (I.2) and the assumption that  $[X, Y] = 0$  for every  $Y \in \mathfrak{g}$  to get

$$R(X, X_i, X, X_i) = \frac{1}{4} \sum_j \langle X, [X_j, X_i] \rangle^2.$$

Hence  $S(X, X) = \frac{1}{4} \sum_{i,j} \langle X, [X_i, X_j] \rangle^2$ . The conclusion is now obvious if one observes that the vectors  $\{[X_i, X_j]\}_{i,j}$  span  $\mathfrak{g}'$ .

**Theorem 3.** Let  $\mathfrak{z}(\mathfrak{g})$  denote the center of  $\mathfrak{g}$ .

- 1) If  $\mathfrak{z}(\mathfrak{g}) \neq 0$  and  $S = \lambda \langle \cdot, \cdot \rangle$ , then  $\lambda = 0$  and  $\mathfrak{z}(\mathfrak{g}) \perp \mathfrak{g}'$ .
- 2) If  $S$  is positive definite, then  $G$  is compact semisimple.
- 3) If  $S$  is negative definite, then  $\mathfrak{z}(\mathfrak{g}) = 0$ .

**Remark.** In Chapter III it will be seen that, if  $\dim \mathfrak{g} = 4$  and  $S = 0$ , then  $\mathfrak{z}(\mathfrak{g}) \neq 0$ . The author does not know whether the converse of 1) is true for higher dimensions.

*Proof.* Suppose  $\mathfrak{z}(\mathfrak{g}) \neq 0$  and  $S = \lambda \langle \cdot, \cdot \rangle$ . Then Theorem 2 implies that  $\lambda \geq 0$ , with  $\lambda = 0$  if and only if  $\mathfrak{z}(\mathfrak{g}) \perp \mathfrak{g}'$ . If  $\lambda > 0$ , then  $S$  is positive definite, and thus Myers' theorem says that  $G$  and every covering manifold of  $G$  is compact. In particular the simply connected covering group  $\tilde{G}$  of  $G$  is compact. Thus  $\mathfrak{g}$  is a compact semisimple Lie algebra. (cf. [2, p. 122]). This proves 1) and 2).

If  $S$  is negative definite then Theorem 2 implies that  $\mathfrak{z}(\mathfrak{g}) = 0$ .

The following corollary will be needed in the proof of Theorem 5.

**Corollary 1.** Suppose  $\dim G = 4$  and  $\mathfrak{z}(\mathfrak{g}) \neq 0$ . If  $G$  is an Einstein space, then it must be locally flat.

*Proof.* Since  $\mathfrak{z}(\mathfrak{g}) \neq 0$  we have  $S = 0$  by Theorem 3. It suffices to prove this corollary for simply connected  $G$ .

Let  $G = G_0 \times G_1 \times \dots \times G_k$  be the de Rham decomposition of  $G$ , where  $G_0$  is Euclidean and  $G_1, \dots, G_k$  are the irreducible non-Euclidean factors. Then  $G_1, \dots, G_k$  are subgroups of  $G$ , although the product is only that of Riemannian spaces. (cf. [6, p. 51]).

**Claim.**  $\dim G_0 \geq 1$ . In fact, let  $B \in \mathfrak{z}(\mathfrak{g})$  be a unit vector, and  $Y$  the left-invariant vector field on  $G$  generated by  $B$ . Then  $Y$  is also right-invariant, since  $B$  is central. Let  $X$  be any left-invariant vector field on  $G$ , and  $X_1, \dots, X_4$  a left-invariant orthonormal frame field on  $G$  with  $X_1 = X, X_2 = Y$ . Then from Formula (I.4), we have  $\langle \nabla_x Y, X_i \rangle(e) = \langle B, [X_i(e), X(e)] \rangle = 0$ , since  $\mathfrak{z}(\mathfrak{g}) \perp \mathfrak{g}'$  by Theorem 3. Hence  $Y$  is a parallel vector field and consequently  $Y(e) \in T_e(G_0)$ , i.e.,  $\dim G_0 \geq 1$ .

Thus  $\dim G_i \leq 3, i = 1, \dots, k$ ; and consequently  $G_i$  is flat since its Ricci tensor is zero. Hence  $G = G_0$ .

**Remark.** In Chapter III it will be shown that a four-dimensional  $G$  with zero Ricci tensor must have  $\mathfrak{z}(\mathfrak{g}) \neq 0$ . Thus the above corollary can be easily extended to five-dimensional  $G$ .

Following the lead of J. A. Wolf we can sharpen the results of his theorem in [8].

**Theorem 4.** *Suppose  $G$  is nilpotent and non-abelian. Then there exist unit vectors  $X \in \mathfrak{g}$  and  $Y \in \mathfrak{g}$  such that  $S(X, X) > 0$  and  $S(Y, Y) < 0$ .*

*Proof.* Let  $\mathfrak{g} = D^0\mathfrak{g} \supset D^1\mathfrak{g} \supset \dots \supset D^{k-1}\mathfrak{g} \supset D^k\mathfrak{g} = 0$  be the central series of  $\mathfrak{g}$ , where  $D^i\mathfrak{g} = [\mathfrak{g}, D^{i-1}\mathfrak{g}]$ .  $\mathfrak{g}$  being non-abelian implies that  $k \geq 2$ ,  $D^{k-1}\mathfrak{g} \neq 0$ . Now  $0 = D^k(\mathfrak{g}) = [\mathfrak{g}, D^{k-1}\mathfrak{g}]$  means that  $D^{k-1}\mathfrak{g} \subset \mathfrak{z}(\mathfrak{g})$ . Thus there exists a unit vector  $X \in \mathfrak{z}(\mathfrak{g}) \cap \mathfrak{g}'$ . By Theorem 2 we have that  $S(X, X) > 0$ .

For each  $i = 1, \dots, k$  let  $\alpha^i \subset D^{i-1}\mathfrak{g}$  be the orthogonal complement of  $D^i\mathfrak{g}$  in  $D^{i-1}\mathfrak{g}$ . Thus  $D^{i-1}\mathfrak{g} = \alpha^i + D^i\mathfrak{g}$ , orthonogonal vector space direct sum.

Now  $\mathfrak{g} = \alpha^1 + \alpha^2 + \dots + \alpha^k$ , orthogonal direct sum as vector spaces. Since  $\mathfrak{g}$  is non-abelian there is a smallest integer  $t$ ,  $1 \leq t < k$ , such that  $\alpha^t$  contains a non-central unit vector,  $Y$  say. Let  $\alpha = \sum_{1 \leq i < t} \alpha^i$ . Then  $\alpha$  is central, and  $\mathfrak{g} = \alpha + \alpha^t + D^t\mathfrak{g}$ . Choose an orthonormal basis  $X_1, \dots, X_n$  of  $\mathfrak{g}$  so that the first  $\dim \alpha$  vectors are in  $\alpha$ , the second  $\dim \alpha^t$  vectors are in  $\alpha^t$ , etc. Then there are integers  $q < p$  such that  $X_1, \dots, X_q \in \alpha$ ,  $X_{q+1}, \dots, X_p \in \alpha^t$ , and  $X_{p+1}, \dots, X_n \in D^t\mathfrak{g}$ . We may assume that  $X_{q+1} = Y$ .

(\*) Observe that if  $i \leq j$  then  $X_i \perp [X, X_j]$  for any  $X \in \mathfrak{g}$ .

**Claim.**  $S(Y, Y) < 0$ .

For  $1 \leq i \leq q$ :

$R(X_i, Y, X_i, Y) = 0$  by (I.2), (\*) and the fact that  $X_i$  is central for  $1 \leq i \leq q$ .

For  $q + 1 \leq i \leq p$ :

$R(X_i, Y, X_i, Y) = -\frac{3}{4} \|[X_i, Y]\|^2$  by (I.2), (\*) and that  $\langle X_i, [Y, X_j] \rangle = 0$

for  $1 \leq j \leq n$ .

For  $p + 1 \leq i \leq n$ :

$$\begin{aligned} R(X_i, Y, X_i, Y) &= -\|[X_i, Y]\|^2 + \frac{1}{4} \sum_{1 \leq j \leq n} (\langle X_i, [Y, X_j] \rangle + \langle X_j, [X_i, Y] \rangle)^2 \\ &= -\|[X_i, Y]\|^2 + \frac{1}{4} \sum_{q+1 \leq j \leq i} \langle X_i, [Y, X_j] \rangle^2 \\ &\quad + \frac{1}{4} \sum_{i < j \leq n} \langle X_j, [X_i, Y] \rangle^2 \end{aligned}$$

by (I.4) and repeated applications of (\*). Thus



$$\begin{aligned}
S(Y, Y) &= \sum_{1 \leq i \leq n} R(X_i, Y, X_i, Y) \\
&= -\frac{3}{4} \sum_{q+1 \leq i \leq p} \|[X_i, Y]\|^2 - \sum_{p+1 \leq i \leq n} \|[X_i, Y]\|^2 \\
&\quad + \frac{1}{4} \sum_{p+1 \leq i \leq n} \sum_{q+1 \leq j \leq i} \langle X_i, [Y, X_j] \rangle^2 + \frac{1}{4} \sum_{p+1 \leq i \leq n} \sum_{i < j \leq n} \langle X_j, [X_i, Y] \rangle^2 \\
&= -\frac{3}{4} \sum_{q+1 \leq i \leq p} \|[X_i, Y]\|^2 - \sum_{p+1 \leq i \leq n} \|[X_i, Y]\|^2 \\
&\quad + \frac{1}{4} \sum_{q+1 \leq j \leq p} \sum_{p+1 \leq i \leq n} \langle X_i, [Y, X_j] \rangle^2 + \frac{1}{4} \sum_{p+1 \leq j \leq n} \sum_{j \leq i \leq n} \langle X_i, [Y, X_j] \rangle^2 \\
&\quad + \frac{1}{4} \sum_{p+1 \leq i \leq n} \sum_{1 \leq j \leq n} \langle X_j, [X_i, Y] \rangle^2, \text{ by } (*) \\
&= -\frac{3}{4} \sum_{q+1 \leq i \leq p} \|[X_i, Y]\|^2 - \sum_{p+1 \leq i \leq n} \|[X_i, Y]\|^2 \\
&\quad + \frac{1}{4} \sum_{q+1 \leq j \leq p} \sum_{1 \leq i \leq n} \langle X_i, [Y, X_j] \rangle^2 + \frac{1}{4} \sum_{p+1 \leq j \leq n} \sum_{1 \leq i \leq n} \langle X_i, [Y, X_j] \rangle^2 \\
&\quad + \frac{1}{4} \sum_{p+1 \leq i \leq n} \|[X_i, Y]\|^2, \text{ by } (*) \\
&= -\frac{3}{4} \sum_{q+1 \leq i \leq n} \|[X_i, Y]\|^2 + \frac{1}{4} \sum_{q+1 \leq j \leq p} \|[X_j, Y]\|^2 \\
&\quad + \frac{1}{4} \sum_{p+1 \leq j \leq n} \|[Y, X_j]\|^2 = -\frac{1}{2} \sum_{q+1 \leq i \leq n} \|[X_i, Y]\|^2 < 0,
\end{aligned}$$

since  $Y$  is non-central, and so  $[X_i, Y] \neq 0$  for some  $i$ .

**Corollary 2.** *A nilpotent  $G$  can be an Einstein space if and only if it is abelian.*

**Theorem 5.** *Suppose that  $G$  is non-abelian, four-dimensional and Einsteinian. Then  $G$  must be solvable, but non-nilpotent.*

**Proof.**  $G$  cannot be nilpotent by Corollary 2.

Let  $r$  be the largest solvable ideal of  $\mathfrak{g}$ . Then  $\mathfrak{g}/r$  is semi-simple and therefore must have dimension three or zero, because there are no two or four-dimensional semi-simple Lie algebras. Thus  $\dim r$  is one or four, i.e., either  $\dim r = 1$  or  $G$  is solvable.

Suppose  $\dim r = 1$ . We shall prove that in this case  $G$  cannot be an Einstein space.  $\mathfrak{g}$  is the semi-direct sum  $r + \mathcal{S}$ , where  $\mathcal{S}$  is a three-dimensional simple subalgebra of  $\mathfrak{g}$ . Explicitly, there is a Lie algebra homomorphism  $\eta: \mathcal{S} \rightarrow \text{End}(r)$ , given by  $\eta(X)(Y) = [X, Y]$  for  $X \in \mathcal{S}$ , and  $Y \in r$ . Then  $\dim \text{Ker } \eta \geq 2$ . Since  $\mathcal{S}$  has no non-trivial ideals,  $\text{Ker } \eta = \mathcal{S}$ , i.e.,  $\eta$  is trivial. This just

means that  $\mathfrak{g}$  is the direct sum  $r \oplus \mathcal{S}$ , and  $\mathcal{S}$  is an ideal of  $\mathfrak{g}$ . But then it is clear that  $r = \mathfrak{z}(\mathfrak{g})$  and, consequently,  $\mathfrak{z}(\mathfrak{g}) \neq 0$ . Hence  $G$  must be locally flat by Corollary 1.

Since  $r = \mathfrak{z}(\mathfrak{g})$ , the invariant vector fields on  $G$  generated by elements of  $r$  are parallel, as was seen in the proof of Corollary 1. Thus  $r$  is invariant under the linear holonomy representation on  $\mathfrak{g}$ . But then so is  $\mathcal{S}$ , since  $\mathcal{S} \perp r$  by Theorem 3. Hence it follows that the simply connected covering group  $\tilde{G}$  of  $G$  is a direct product—as groups and as Riemannian spaces— $\tilde{G} = \mathbf{R} \times S$ , where  $S$  is the analytic subgroup of  $\tilde{G}$  corresponding to  $\mathcal{S}$ . Now  $\tilde{G}$  being simply connected and flat implies that  $S$  is simply connected and flat also. But this is impossible since a semi-simple connected Lie group cannot act transitively on  $\mathbf{R}^3$  as a group of Euclidean motions. (cf. [5, Chapter X]).

Theorem 4 reduces the problem of finding all four-dimensional  $G$  with a left-invariant Einstein metric to the case where  $G$  is solvable but non-nilpotent. It will be seen in Chapter III that  $G$  must have a discrete center in order to be an Einstein space. This result is indeed stronger than Theorem 4 since there are four-dimensional solvable non-nilpotent Lie groups with non-discrete center. For example

$$G = \left\{ \left( \begin{array}{ccc} 1 & a & b \\ 0 & c & d \\ 0 & 0 & 1 \end{array} \right) \middle| \begin{array}{l} c > 0 \\ a, b, c, d \in \mathbf{R} \end{array} \right\}.$$

## Chapter II

Throughout this chapter  $M$  denotes a simply connected, homogeneous, Riemannian manifold and  $G$  is a connected group of isometries acting transitively and effectively on  $M$ . Our problem is to determine all such four-dimensional  $M$  which are Einstein spaces. S. Ishihara [3] used a general method of E. Cartan's in order to determine the topological structure of four-dimensional  $M$ . Ishihara's methods solve most of our problem except for the case when  $G$  acts simply transitively on  $M$ , that is, the case when  $M$  is a group manifold with a left-invariant metric.

This chapter is divided into three sections. §1 contains a determination of the subalgebras of  $so(4)$ , §2 is a summary of Cartan's method as applied by Ishihara, and §3 contains the application of these and some other methods to our problem.

**1.** Fix a point  $p_0 \in M$ , and let  $H$  be the isotropy subgroup of  $G$  at  $p_0$ , and  $SO(M)$  be the principal bundle of oriented orthonormal frames on  $M$  with structure group  $SO(n)$ ,  $n = \dim M$ . Fix a frame  $u_0 \in SO(M)$  over  $p_0 \in M$ . Define  $F: G \rightarrow SO(M)$  by  $F(q) = q_* u_0$ , and the homomorphism  $f: H \rightarrow SO(n)$  by  $F(h) = u_0 f(h)$  for  $h \in H$ .  $F$  defines a bundle map of  $G(G/H, H)$  into  $SO(M)(M, SO(n))$ :

$$\begin{array}{ccc}
 G & \xrightarrow{F} & SO(M) \\
 H \downarrow & & \downarrow \pi \\
 G/H & \xrightarrow{1} & M
 \end{array}$$

Consider the map  $f_*: \mathfrak{h} \rightarrow so(n)$ , where  $\mathfrak{h}$  is the Lie algebra of  $H$ . An evident way to divide this investigation of  $M$  into more tenable pieces is to determine all the subalgebras of  $so(n)$  and then to consider separately each case as  $f_*(\mathfrak{h})$  ranges over this collection of subalgebras. For this reason we determine the subalgebras of  $so(4)$ .

Let  $\theta$  be the canonical 1-form on  $SO(4)$ ,  $\theta = (\theta_{ij})$ ,  $\theta_{ij} + \theta_{ji} = 0$ ,  $0 \leq i, j \leq 3$ . The Maurer-Cartan equations for  $SO(4)$  are then

$$d\theta_{ij} = \sum_k \theta_{ik} \wedge \theta_{kj}.$$

Define the following forms:

$$\begin{array}{lll}
 \varphi_1 = \theta_{01} - \theta_{23}, & \varphi_2 = \theta_{02} - \theta_{31}, & \varphi_3 = \theta_{03} - \theta_{12}, \\
 \psi_1 = -\theta_{01} - \theta_{23}, & \psi_2 = -\theta_{02} - \theta_{31}, & \psi_3 = -\theta_{03} - \theta_{12}.
 \end{array}$$

Without difficulty one can obtain the following proposition.

**Proposition.** *Let  $\mathfrak{h}$  be a subalgebra of the Lie algebra  $so(4)$ . Then under an adjoint transformation induced on  $so(4)$  by an element of  $O(4)$ ,  $\mathfrak{h}$  is equivalent to one of the subalgebras defined by the following equations:*

- I.  $\mathfrak{h} = so(4)$ .
- II.  $\varphi_1 = \varphi_3 = 0$ .
- III.  $\varphi_1 - \psi_1 = 0, \varphi_2 - \psi_2 = 0, \varphi_3 - \psi_3 = 0$ .
- IV.  $\varphi_1 = \varphi_2 = \varphi_3 = 0$ .
- V.  $\varphi_2 = \varphi_3 = \psi_2 = \psi_3 = 0$ .
- VI.  $\varphi_2 = \varphi_3 = \psi_2 = \psi_3 = 0, m\varphi_1 = \psi_1 (m > 0)$ .
- VII.  $\varphi_1 = \varphi_2 = \varphi_3 = \psi_2 = \psi_3 = 0$ .
- VIII.  $\mathfrak{h} = 0$ .

2. Let  $\omega$  denote the Riemannian connection form on  $SO(M)$ , and  $\theta$  the canonical 1-form on  $SO(M)$ . Note that  $\theta$  no longer denotes the canonical form on  $SO(n)$  as it did in § 1, but all other notation in this section is the same as that in § 1. Write  $\omega = (\omega_j^i)$ ,  $\omega_j^i + \omega_i^j = 0$ , and  $\theta = (\theta^i)$ ,  $0 \leq i, j, \dots, \leq n - 1$ . Then the structure equations are

$$\begin{aligned}
 d\theta^i &= -\sum_k \omega_k^i \wedge \theta^k, \\
 d\omega_j^i &= -\sum_k \omega_k^i \wedge \omega_j^k + \Omega_j^i,
 \end{aligned}$$

where  $\Omega_j^i$  is the curvature form.

$G(G/H, H)$  is a subbundle of  $SO(M)(M, SO(n))$ , but the Riemannian connection cannot, in general, be reduced to  $G$ . Recall that  $F(e) = u_0$ , and thus  $F_*\mathfrak{g} = T_{u_0}(F(G))$ . The vertical subspace of  $F_*\mathfrak{g}$  is  $F_*\mathfrak{h}$ , which means that  $\mathfrak{h} = \{X \in \mathfrak{g} \mid F^*\theta_{u_0}(X) = 0\}$  and  $F^*\omega$  restricted to  $\mathfrak{h}$  is the identity homomorphism.

$f_*\mathfrak{h}$  is a subalgebra of  $so(n)$ , and thus, is defined by a set  $\{A_a\}$  of linear functionals on  $so(n)$ , i.e.,  $f_*\mathfrak{h} = \{X \in so(n) \mid A_a(X) = 0, \text{ for all } a\}$ . Write  $A_a = (A_{aij})$ ,  $A_{aij} + A_{aji} = 0$ . For each  $a$ , the linear 1-form  $\sum_{i < j} A_{aij} F^*\omega_j^i$  vanishes on  $\mathfrak{h}$ , i.e., it is a horizontal form, and therefore

$$\sum_{i < j} A_{aij} F^*\omega_j^i = \sum_i c_{ai} F^*\theta^i, \text{ for certain constants } c_{ai},$$

since the  $\theta^i$  span the space of all horizontal forms.

We can obtain relations on the  $c_{ai}$  by differentiating these equations and evaluating each side on pairs of the form  $(X, Y)$ , where  $X \in \mathfrak{h}$ ,  $Y \in \mathfrak{g}$ . Let  $F_*X = (\chi_{ij})$ ,  $\chi_{ij} + \chi_{ji} = 0$ , and  $\sum_{i < j} \chi_{ij} A_{aij} = 0$ , for all  $a$ . Then

$$\begin{aligned} F^*d\omega_j^i(X, Y) &= -\sum_k \omega_k^i \wedge \omega_j^k(F_*X, F_*Y) + \Omega_j^i(F_*X, F_*Y) \\ &= -\frac{1}{2} \sum_k \omega_k^i(F_*X) \omega_j^k(F_*Y) - \omega_j^k(F_*X) \omega_k^i(F_*Y) \\ &= -\frac{1}{2} \sum_k (\chi_{ik} \omega_j^k + \chi_{kj} \omega_k^i)(F_*Y), \end{aligned}$$

$$\begin{aligned} F^*d\theta^i(X, Y) &= -\sum_k \omega_k^i \wedge \theta^k(F_*X, F_*Y) = -\frac{1}{2} \sum_k \omega_k^i(F_*X) \theta^k(F_*Y) \\ &= -\frac{1}{2} \sum_k \chi_{ik} \theta^k(F_*Y). \end{aligned}$$

We have used the fact that  $F_*X$  is vertical while  $\Omega$  and  $\theta$  are horizontal.

Hence we get the following relations on the  $c_{ai}$ :

$$(II.1) \quad F^* \sum_{i < j} A_{aij} (\chi_{ik} \omega_j^k + \chi_{kj} \omega_k^i) = F^* \sum_{k, i} c_{ai} \chi_{ik} \theta^k,$$

where

$$\chi_{ik} + \chi_{ki} = 0, \quad \sum_{i < k} A_{aik} \chi_{ik} = 0$$

for each  $a$ .

**3.** In this section we determine all four-dimensional simply connected homogeneous Riemannian Einstein spaces  $M = G/H$ , except for the case when  $M$  is a group manifold. This remaining case will be dealt with in Chapter III. We consider eight cases, each case being numbered according to which type—I

through VIII of the proposition in § 1— $f_*\mathfrak{h}$  belongs. In some of the cases the results are easily extended to higher dimensional  $M$ . In such cases the results are stated and proved in as much generality as possible. Case II is done in additional detail in order to illustrate the general method, which is essentially the same in each case, except for Case IV, which requires a few different methods.

For convenience we let  $C(+, n)$ ,  $C(-, n)$  and  $C(0, n)$  denote the simply connected Riemannian spaces of  $n$  dimensions with constant positive, negative and zero curvature, respectively.

Case I.  $f_*\mathfrak{h} = so(4)$ . In general, if  $\dim M = n$ , and  $f_*\mathfrak{h} = so(n)$ , then  $M$  is one of  $C(+, n)$ ,  $C(-, n)$  or  $C(0, n)$ .

Case II.  $f_*\mathfrak{h}$  is defined by the equations  $\varphi_1 = \varphi_3 = 0$ . Thus

$$f_*\mathfrak{h} = \left\{ \left[ \begin{array}{cccc} 0 & a & b & c \\ -a & 0 & c & d \\ -b & -c & 0 & a \\ -c & -d & -a & 0 \end{array} \right] \middle| a, b, c, d \in R \right\} \cong u(2) .$$

In general, suppose that  $\dim M = n = 2m$ , and  $f_*\mathfrak{h}$  is the standard imbedding of  $u(m)$  in  $so(n)$  given by

$$u(m) \ni h = h_1 + ih_2 \leftrightarrow \begin{bmatrix} h_1 & -h_2 \\ h_2 & h_1 \end{bmatrix} \in so(n) ,$$

where  $h_1$  and  $h_2$  are real  $m \times m$  matrices.

We shall determine what  $M$  must be in this general case. To do this, we make use of the equations (II.1) in § 2, and shall omit the  $F^*$  in these equations, so in the following it is to be understood that  $\omega$  and  $\theta$  are restricted to the subbundle  $G(G/H, H)$ . Now there are  $m(m - 1)$  linear equations defining  $f_*\mathfrak{h}$ , namely,

$$(II.2) \quad \chi_{ii} = \chi_{i+m, j+m} ,$$

$$(II.3) \quad \chi_{i, j+m} = \chi_{j, i+m} ,$$

where  $1 \leq i, j, k, \dots \leq m$ , (in the following we make the convention that  $1 \leq i, j, k, \dots \leq m$ ), and where  $\chi = (\chi_{ab})$ ,  $1 \leq a, b \leq n$ , denotes any element in  $so(n)$ . Thus, for each fixed pair  $i, j$ , with  $1 \leq i < j \leq m$ , we get the equation, from (II.2) and (II.1),

$$(II.4) \quad \begin{aligned} & \sum_{k \neq j} \{ \chi_{ik}(\omega_j^k - \omega_{j+m}^{k+m}) + \chi_{i, k+m}(\omega_j^{k+m} + \omega_{j+m}^k) \} \\ & + \sum_{k \neq i} \{ \chi_{kj}(\omega_i^k - \omega_{i+m}^{k+m}) + \chi_{k+m, i}(\omega_i^{k+m} + \omega_{i+m}^k) \} \\ & = \sum_{k < l} \chi_{kl}(c_k \theta^l - c_l \theta^k - c_{k+m} \theta^{l+m} - c_{l+m} \theta^{k+m}) \\ & + \sum_{k \leq l} \chi_{k, l+m}(c_k \theta^{l+m} - c_{l+m} \theta^k + c_l \theta^{k+m} - c_{l+m} \theta^l) , \end{aligned}$$

and the equation, from (II.3) and (II.1),

$$\begin{aligned}
 (II.5) \quad & \sum_{k \neq j} \{ \chi_{ik} (\omega_{j+m}^k + \omega_j^{k+m}) + \chi_{i,k+m} (\omega_{j+m}^{k+m} - \omega_j^k) \} \\
 & + \sum_{k \neq i} \{ \chi_{kj} (\omega_{i+m}^k + \omega_i^{k+m}) + \chi_{k,j+m} (\omega_i^k - \omega_{i+m}^{k+m}) \} \\
 & = \sum_{k < l} \chi_{kl} (d_k \theta^l - d_l \theta^k + d_{k+m} \theta^{l+m} - d_{l+m} \theta^{k+m}) \\
 & + \sum_{k \leq l} \chi_{k,l+m} (d_k \theta^{l+m} - d_{l+m} \theta^k + d_l \theta^{k+m} - d_{k+m} \theta^l) .
 \end{aligned}$$

In (II.4) and (II.5) the variables  $\chi_{ab}$  which appear are all independent, that is, these equations are identities which must hold for any choice of the  $\chi_{ab}$  which appear. Hence the coefficients of the  $\chi_{ab}$  on each side of the equation must be the same. Equating the coefficients of  $\chi_{ij}$  in (II.4) we get that  $0 = c_i \theta^j - c_j \theta^i + c_{i+m} \theta^{j+m} - c_{j+m} \theta^{i+m}$ . Thus  $c_i = c_j = c_{i+m} = c_{j+m} = 0$ , since the  $\theta^k$  are linearly independent. Equating the coefficients of  $\chi_{i,i+m}$  in (II.4) we then get

$$(II.6) \quad \omega_j^{i+m} + \omega_{j+m}^i = 0 .$$

Similarly, we get, from (II.5),

$$(II.7) \quad \omega_{j+m}^{i+m} - \omega_j^i = 0 .$$

Equations (II.6) and (II.7) hold for any  $i, j$  satisfying  $1 \leq i < j \leq m$ . Thus these equations imply that the connection form  $\omega$ , when restricted to  $G(G/H, H)$ , takes values in  $f_* \mathfrak{h}$ . This means that the connection can be restricted to  $G(G/H, H)$ .

Define

$$\begin{aligned}
 \pi^i &= \theta^i + i\theta^{i+m} , \\
 \pi_j^i &= \omega_j^i + i\omega_j^{i+m}, \quad 1 \leq i, j \leq m, \\
 \Phi_j^i &= \Omega_j^i + i\Omega_j^{i+m}, \quad \text{on } G(G/H, H) .
 \end{aligned}$$

Then

$$\begin{aligned}
 d\pi^i &= -\sum_j \pi_j^i \wedge \pi^j , \\
 d\pi_j^i &= -\sum_k \pi_k^i \wedge \pi_j^k + \Phi_j^i , \\
 \bar{\pi}^i &= -\pi_i^i, \quad \bar{\Phi}_j^i = -\Phi_j^i .
 \end{aligned}$$

Thus  $\pi^1, \dots, \pi^m$  define a Hermitian structure on  $M$ , which is torsion free, so that  $M$  is a Kählerian space. Now  $H \cong U(m)$  acts transitively on the unit sphere in  $T_{p_0}(M)$ . Hence  $M$  has constant holomorphic curvature, that is,  $M$  is either  $P(m, C) =$  complex projective space, or  $H(m, C) =$  hermitian hyperbolic space, or  $C(0, n)$ .

Case III.  $f_*\mathfrak{h}$  is defined by the equations  $\chi_{01} = \chi_{02} = \chi_{03} = 0$ .

$$f_*\mathfrak{h} = \left\{ \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & a & b \\ 0 & -a & 0 & c \\ 0 & -b & -c & 0 \end{bmatrix} \middle| a, b, c \in \mathbb{R} \right\} \cong so(3).$$

In general, suppose  $\dim M = n$ , and  $f_*\mathfrak{h} = so(n - 1)$ , which is contained in  $so(n)$  as

$$\begin{bmatrix} 0 & 0 \dots 0 \\ \hline 0 & \\ \vdots & so(n-1) \\ 0 & \end{bmatrix}.$$

Then the linear equations defining  $f_*\mathfrak{h}$  are  $\chi_{i,j} = -\chi_{j,i} = 0, j = 1, \dots, n$ , and as a consequence of equations (II.1) we get the following equations, when the  $\omega_j^i$  and  $\theta^k$  are restricted to  $G$ :

$$\omega_j^1 = c\theta^j, \text{ where } c = \text{constant}, 1 \leq j \leq n.$$

Notice then that  $d\theta^1 = -\sum_j \omega_j^1 \wedge \theta^j = -\sum_j c\theta^j \wedge \theta^j = 0$ . If  $c = 0$  then it is not difficult to see that  $M$  is  $\mathbb{R}^1 \times C(\pm, n - 1)$  or  $C(0, n)$ . If  $c \neq 0$ , then K. Yano [10] shows that  $M$  is  $C(-, n)$ .

Case IV.  $f_*\mathfrak{h}$  is defined by the equations

$$f_*\mathfrak{h} = \left\{ \begin{matrix} \chi_{01} - \chi_{23} = \chi_{02} - \chi_{31} = \chi_{03} - \chi_{12} = 0, \\ \begin{bmatrix} 0 & a & b & c \\ -a & 0 & c & -b \\ -b & -c & 0 & a \\ -c & b & -a & 0 \end{bmatrix} \end{matrix} \middle| a, b, c \in \mathbb{R} \right\} \cong su(2).$$

In general, suppose  $\dim M = n = 2m$ , and  $f_*\mathfrak{h} \cong su(m)$  which is contained in  $so(n)$  by the standard imbedding described in Case II for  $u(m)$ .

**Proposition.** *If  $m \neq 3, m \geq 2$ , then  $M$  is a Kählerian space with constant holomorphic curvature equal to zero, that is,  $M = C(0, n)$ .*

**Remark.** J. A. Wolf has shown the author that in the case  $m = 3, M$  must be either  $C(0, 6)$  or  $G(2)/SU(3)$ .

*Proof.* The linear equations defining  $f_*\mathfrak{h}$  in  $so(n)$  are:

$$(II.8) \quad \begin{aligned} \chi_{ij} &= \chi_{i+m, j+m}, \\ \chi_{i, j+m} &= \chi_{j, i+m}, \\ \sum_{k=1}^m \chi_{k, k+m} &= 0, \text{ where } 1 \leq i, j \leq m. \end{aligned}$$

Thus from (II.1) and these equations (II.8) we obtain  $m(m - 1) + 1$  equations relating  $\omega_\beta^\alpha$  and  $\theta^r$  restricted to  $G(G/H, H)$ ,  $1 \leq \alpha, \beta, \gamma \leq n$ . When  $m = 2$  these three equations all reduce to identities  $0 = 0$ . When  $m = 3$  these seven equations give no information. But when  $m \geq 4$  we get

$$\omega_j^i = \omega_{j+m}^{i+m},$$

$$\omega_{j+m}^i = \omega_{i+m}^j, \quad \text{for } 1 \leq i, j \leq m.$$

Thus, when restricted to  $G(G/H, H)$ ,  $\omega$  takes values in  $u(m) \subset so(n)$ . If we let  $\pi^j = \theta^j + i\theta^{j+m}$ ,  $1 \leq j \leq m$ , then  $\pi^1, \dots, \pi^m$  define a hermitian inner product on  $M$ , and  $G(G/H, H) \subset U(M)$ , where  $U(M)$  is the bundle of unitary frames on  $M$ .

**Claim.** *When restricted to  $U(M)$ ,  $\omega$  takes values in  $u(m) \subset so(n)$ .*

*Proof.* Let  $u \in G(G/H, H) \subset U(M)$ , and  $X \in T_u(U(M))$ . Then  $X = X_1 + X_2$ , where  $X_1 \in T_u(G)$  and  $X_2$  is vertical. Thus  $\omega(X) = \omega(X_1) + \omega(X_2) \in u(m)$ , since  $\omega(X_1) \in u(m)$  as shown above, and  $\omega(X_2) \in u(m) \subset so(n)$  because  $X_2$  is a vertical vector tangent to  $U(M)$  and  $\omega$  is a connection form. Now, if  $u$  is any point of  $U(M)$ , then  $u = va$  for some  $v \in G(G/H, H)$  and some  $a \in U(m) \subset SO(n)$ , and any vector  $X \in T_u(U(M))$  is given by  $X = R_{a*}Y$  for some  $Y \in T_v(U(M))$ , where  $R_a: U(M) \rightarrow U(M)$  denotes the right action of  $U(m) \subset SO(n)$  on  $U(M)$ . Thus, using the *Ad*-invariance of  $\omega$ ,  $\omega_u(X) = \omega_u R_{a*}Y = Ad(a^{-1})(\omega_v(Y)) \in u(m)$ , where *Ad* denotes the adjoint representation of  $U(m)$  in  $u(m)$ , and the claim is proved.

The fact that  $\omega$  restricted to  $U(M)$  takes values in  $u(m) \subset so(n)$  implies that the connection can be restricted to  $U(M)$ . Hence  $M$  is a Kählerian space. Since the isotropy group  $H \cong SU(m) \subset SO(n)$  acts transitively on the unit sphere in  $T_{p_0}(M)$ , it follows that  $M$  has constant holomorphic curvature.

In the case when  $m = 2$ , a different argument is needed in order to show that  $M$  is a Kählerian space of constant holomorphic curvature. The proof for  $m = 2$  is valid for any even value of  $m$ , say  $m = 2k$ .

*Alternate proof for the case  $m = 2k$ .* The linear isotropy representation of  $H$  is  $SU(m) \subset SO(n)$ , which implies that there exists an almost complex structure  $J_{p_0}$  on  $T_{p_0}(M)$  which is invariant under the action of  $H$ .  $J_{p_0}$  can be extended to an almost complex structure  $J$  on  $M$  using  $G$  and the fact that  $J_{p_0}$  is invariant under  $H$ . Then the torsion tensor field  $N$  defined by  $J$ , i.e. by  $N(X, Y) = [X, Y] + J[JX, Y] + J[X, JY] - [JX, JY]$  for vector fields  $X, Y$  on  $M$ , must be zero since it is of type  $(1, 2)$  and invariant under  $SU(2k)$ , namely,  $-I \in SU(2k) \subset SO(4k)$ , where  $I$  is the  $4k \times 4k$  unit matrix, and thus

$$N(X, Y) = (-IN)(X, Y) = -N(-X, -Y) = -N(X, Y),$$

i.e.,  $N = 0$ . Hence this almost complex structure on  $M$  is integrable.

Now  $\nabla J$ , the covariant differential of  $J$ , is a tensor field of type  $(1, 2)$  which



is invariant under  $SU(2k)$ , and thus, as for  $N$  above,  $\nabla J = 0$ . Hence  $M$  is a Kählerian space.

$M$  has constant holomorphic curvature since  $H \cong SU(m)$  acts transitively on the unit sphere in  $T_{p_0}(M)$ .

We have now established that for  $m \neq 3, \geq 2$ ,  $M$  must be a Kählerian space of constant holomorphic curvature. We must now show that  $M$  is actually flat.

Suppose  $M$  has positive constant holomorphic curvature. Then  $I_0(M)$ , the connected component of the full group of isometries on  $M$ , is isomorphic to

$$\frac{\frac{SU(m+1)}{D}}{\frac{S(U(m) \times U(1))}{D}}$$

where  $D$  is the (discrete) center of  $SU(m+1)$ , and  $S(U(m) \times U(1))$  is the subgroup of  $U(m) \times U(1)$  consisting of elements with determinant equal to one. Now  $H \cong SU(m)$  implies that  $1 + \dim H = \dim S(U(m) \times U(1))$ . Thus  $G$  must be a subgroup of  $SU(m+1)/D$  of codimension one. But it can be easily shown that  $SU(m+1)/D$  has no subgroups of codimension one if  $m \geq 2$ .

Suppose  $M$  has negative constant holomorphic curvature. Then

$$I_0(M) \cong \frac{\frac{SU(m, 1)}{D}}{\frac{S(U(m) \times U(1))}{D}}$$

where  $SU(m, 1)$  is the subgroup of  $SL(m+1, C)$  which leaves invariant the hermitian form  $-z_1\bar{z}_1 - \dots - z_m\bar{z}_m + z_{m+1}\bar{z}_{m+1}$ , and  $D$  is the (discrete) center of  $SU(m, 1)$ . Moreover, as above,  $G$  must be a subgroup of  $SU(m, 1)/D$  of codimension one. But, as for  $SU(m+1)$ , such subgroups of  $SU(m, 1)/D$  do not exist.

Hence  $M$  must be flat.

Case V.  $f_*\mathfrak{h}$  is defined by the equations

$$X_{02} = X_{03} = X_{31} = X_{12} = 0,$$

$$f_*\mathfrak{h} = \left\{ \left[ \begin{array}{cccc} 0 & a & 0 & 0 \\ -a & 0 & 0 & 0 \\ 0 & 0 & 0 & b \\ 0 & 0 & -b & 0 \end{array} \right] \middle| a, b \in \mathbb{R} \right\} \cong so(2) \oplus so(2).$$

As a consequence of equations (II.1) we have  $\omega_2^0 = \omega_3^0 = \omega_1^3 = \omega_2^1 = 0$ , i.e., the connection can be restricted to  $G(G/H, H)$ . Hence  $M = M_1 \times M_2$ , where  $M_i$  is a two-dimensional space of constant curvature  $K_i, i = 1, 2$ .

Case VI.  $f_*\mathfrak{h}$  is defined by the equations

$$X_{02} = X_{03} = X_{31} = X_{12} = 0, \quad X_{23} = mX_{01} \quad (m > 0),$$

$$f_*\mathfrak{h} = \left\{ \left[ \begin{array}{cccc} 0 & a & 0 & 0 \\ -a & 0 & 0 & 0 \\ 0 & 0 & 0 & ma \\ 0 & 0 & -ma & 0 \end{array} \right] \middle| a \in \mathbb{R} \right\} \cong so(2).$$

As a consequence of equations (II.1) we have  $\omega_2^0 = \omega_3^0 = \omega_1^3 = \omega_2^1 = 0$ ,  $\omega_3^2 = m\omega_1^0$ , i.e., again the connection can be restricted to  $G(G/H, H)$ . Thus  $\Omega_j^i = 0$ , except  $\Omega_1^0 = d\omega_1^0$  and  $\Omega_3^2 = md\omega_1^0$ ; and  $d\omega_1^0 \wedge \theta^i = 0$ ,  $0 \leq i \leq 3$ , from the first Bianchi identity:  $\sum_i \Omega_i^j \wedge \theta^i = 0$ . Therefore  $d\omega_1^0 = 0$ , and  $\Omega_j^i = 0$  for all  $i$  and  $j$ . Hence  $M$  is  $C(0, 4)$ .

Case VII.  $f_*\mathfrak{h}$  is defined by the equations

$$\chi_{02} = \chi_{03} = \chi_{12} = \chi_{23} = \chi_{31} = 0,$$

$$f_*\mathfrak{h} = \left\{ \left[ \begin{array}{cccc} 0 & a & 0 & 0 \\ -a & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right] \middle| a \in \mathbb{R} \right\} \cong so(2).$$

As a consequence of equations (II.1) we have

$$\begin{aligned} \omega_2^0 &= a\theta^0 + b\theta^1, & \omega_3^0 &= c\theta^0 + e\theta^1, \\ \omega_2^1 &= -b\theta^0 + a\theta^1, & \omega_1^3 &= e\theta^0 - c\theta^1, \\ \omega_3^2 &= r\theta^2 + t\theta^3, \end{aligned}$$

where  $a, b, c, e, r, t$  are constants which must be determined. The first Bianchi identity gives the following relations on these constants:

$$\begin{aligned} 2ab + re &= 0, & 2cb - rb &= 0, \\ 2ae + te &= 0, & 2ce - tb &= 0, \\ ar + ct &= 0, \end{aligned}$$

$$(II.9) \quad d\omega_1^0 = -2K\theta^0 \wedge \theta^1 - (br + et)\theta^2 \wedge \theta^3,$$

where  $K$  is a constant. From the second Bianchi identity,  $d\Omega_j^i = \sum_k \omega_k^i \wedge \Omega_j^k - \Omega_k^i \wedge \omega_j^k$ , we get

$$(II.10) \quad \begin{aligned} 2aK + e(br + et) &= 0, \\ 2cK - b(br + et) &= 0. \end{aligned}$$

Consider each of four cases separately.

- (i)  $b \neq 0, e \neq 0$ ;
- (ii)  $b \neq 0, e = 0$ ;
- (iii)  $b = 0, e \neq 0$ ;
- (iv)  $b = 0, e = 0$ .

(i) If  $a \neq 0$ , set  $\mu = \omega_1^0 - b\theta^2 - e\theta^3$ . Then  $d\mu = 0$ . Since  $\mu \neq 0$ , the equation  $\mu = 0$  therefore defines a four-dimensional ideal of  $\mathfrak{g}$ , which in turn defines a four-dimensional normal subgroup  $\bar{H}$  of  $G$ .  $\bar{H}$  also acts transitively on  $M$ , because  $\bar{H} \cap H$  is discrete. Since  $\dim \bar{H} = 4$  and  $M$  is simply connected,  $\bar{H}$  acts simply transitively on  $M$ .

If  $a = 0$ , put  $\mu = e\omega_1^0 - K\theta^3$ . Then  $d\mu = 0$  and  $\mu \neq 0$ . As above the subgroup of  $G$  defined by  $\mu = 0$  acts simply transitively on  $M$ .

(ii) If  $c \neq 0$  put  $\mu = \omega_1^0 - b\theta^2$ , and if  $c = 0$  put  $\mu = b\omega_1^0 - K\theta^2$ . Then, in either case,  $d\mu = 0, \mu \neq 0$ , and  $\mu = 0$  defines a subgroup of  $G$ , which acts simply transitively on  $M$ .

(iii) Same as (ii).

(iv) By virtue of equation (II.9),  $d\omega_1^0 = -2K\theta^0 \wedge \theta^1$  in this case. If  $a \neq 0$  or  $c \neq 0$ , then  $K = 0$  by (II.10). Thus  $d\omega_1^0 = 0, \omega_1^0 \neq 0$ , and again  $\omega_1^0 = 0$  defines a subgroup of  $G$ , which acts simply transitively on  $M$ .

If  $a = c = 0$ , then we have

$$(II.11) \quad d\theta^0 = -\omega_1^0 \wedge \theta^1, d\theta^1 = -\omega_0^1 \wedge \theta^0, \Omega_1^0 = d\omega_1^0 = -2K\theta^0 \wedge \theta^1,$$

$$(II.12) \quad d\theta^2 = -r\theta^2 \wedge \theta^3, d\theta^3 = -t\theta^2 \wedge \theta^3, \Omega_3^2 = -(t^2 + r^2)\theta^2 \wedge \theta^3,$$

and all other  $\Omega_j^i = 0$ . Hence  $M = M_1 \times M_2$ , where  $M_1$  and  $M_2$  are two-dimensional spaces with structure equations given by (II.11) and (II.12), respectively. Since  $K$  is arbitrary,  $M_1$  is  $C(+, 2), C(-, 2)$  or  $C(0, 2)$ . But  $t^2 + r^2 \geq 0$  implies that  $M_2$  can be  $C(0, 2)$  or  $C(-, 2)$ .

Case VIII.  $f_*\mathfrak{h} = \{0\}$ .  $G$  acts simply transitively on  $M$ .

We summarize the results of this chapter in the following theorem. A Riemannian space which has a group of isometries acting simply transitively on it is called a group manifold.

**Theorem.** *Let  $M$  be a four-dimensional, simply connected homogeneous Riemannian manifold. Then  $M$  must be one of the following spaces:  $C(0, 4), C(+, 4), C(-, 4), P(2, C), H(2, C), R^1 \times C(+, 3), R^1 \times C(-, 3), C(\overset{+}{0}, 2) \times C(\overset{+}{0}, 2)$ , or a group manifold. In this list the first five spaces are Einstein spaces, the sixth and seventh spaces are not Einstein spaces, and the last space is an Einstein space if and only if both factors have the same sectional curvature.*

In Chapter III we shall determine which group manifolds are Einstein spaces.

**Remark.** Suppose that  $M$  has a group  $G$ , of isometries which acts simply transitively on  $M$ . Fix  $p_0 \in M$ , and define  $F: G \rightarrow M$  by  $F(g) = g(p_0)$ . Then  $F$  is a diffeomorphism of  $G$  onto  $M$ . Let  $\langle, \rangle$  denote the metric tensor on  $M$ . Then  $F^*\langle, \rangle$  is a metric tensor induced on  $G$ , and  $F$  is an isometry with respect to these metrics. The important fact is that  $F^*\langle, \rangle$  is a left-invariant metric on  $G$ . In fact, let  $l_g$  denote left multiplication by  $g$  in  $G$ . Then  $F \circ l_g = g \circ F$  implies the third equality in the chain:

$$\begin{aligned} F^*\langle X, Y \rangle_g &= \langle F_* X, F_* Y \rangle_{g(p_0)} = \langle (g^{-1} \circ F)_* X, (g^{-1} \circ F)_* Y \rangle_{p_0} \\ &= \langle (F \circ l_{g^{-1}})_* X, (F \circ l_{g^{-1}})_* Y \rangle_{p_0} = F^*\langle l_{g^{-1}*} X, l_{g^{-1}*} Y \rangle_e, \end{aligned}$$

where  $X, Y \in T_e(G)$ .

### Chapter III

By virtue of the theorem at the end of Chapter II, the problem of determining all simply connected homogeneous four-dimensional Einstein spaces  $M$ , is solved up to the case when  $M$  is a group manifold. Due to the remark at the end of Chapter II, we may regard a group manifold  $M$  as a Lie group  $G$  with a left-invariant Riemannian metric. Indeed, due to the results of Chapter I, we need only consider solvable groups  $G$ .

In this chapter we shall determine all such  $G$  which are Einstein spaces. This chapter is divided into two sections. The first section contains the detailed determination of all solvable group manifolds which are Einstein spaces, and the second a summary of the main results obtained in this chapter.

1. In this chapter  $G$  is a four-dimensional solvable Lie group,  $\mathfrak{g}$  is its Lie algebra and  $\langle, \rangle$  is an inner product on  $\mathfrak{g}$ . This inner product defines a left-invariant metric on  $G$ . Let  $\omega$  and  $\Omega$  be the Riemannian connection and curvature forms, respectively, defined on  $G$  and taking values in  $so(4)$ . As with all left-invariant linear forms on  $G$ , we regard  $\omega$  and  $\Omega$  as linear forms on  $\mathfrak{g}$ . Let  $X_1, \dots, X_4$  be an orthonormal basis of  $\mathfrak{g}$ , and let  $A_k = \omega(X_k) \in so(4)$ ,  $1 \leq k \leq 4$ . Write  $A_k = (A_{jk}^i)$ , with  $A_{jk}^i + A_{ik}^j = 0$ . Let  $C_{jk}^i$  be the structure constants of  $\mathfrak{g}$  with respect to  $X_1, \dots, X_4$ , i.e.,  $[X_j, X_k] = \sum_{i=1}^4 C_{jk}^i X_i$ . Then  $d\omega(X_i, X_j) = -\frac{1}{2} \sum_{k=1}^4 C_{ij}^k A_k$ , since  $\omega$  and the  $X_i$  are left-invariant. Furthermore,

$$\omega \wedge \omega(X_k, X_l) = \frac{1}{2}(A_k A_l - A_l A_k) = \frac{1}{2}[A_k, A_l],$$

where the last expression is the bracket in  $so(4)$ . Thus

$$\Omega(X_k, X_l) = d\omega(X_k, X_l) + \omega \wedge \omega(X_k, X_l) = \frac{1}{2}([A_k, A_l] - \sum_i C_{kl}^i A_i).$$

(III.1) Let  $R_{ijkl} = 2\Omega_{ij}(X_k, X_l)$  be the curvature tensor.

The Ricci tensor is defined by

$$(III.2) \quad R_{jl} = \sum_i R_{ijil} = \sum_i [A_i, A_l]_{ij} + \sum_i (A_i C^i)_{jl},$$

where  $C^i = (C^i_{jk})$  is a  $4 \times 4$  skew symmetric matrix.

The scalar curvature is

$$(III.3) \quad R = \sum_{i,j} [A_i, A_j]_{ij} + \sum_i \text{Trace}(A_i C^i).$$

The  $\{A^i_{jk}\}$  and  $\{C^i_{jk}\}$  are related, in fact, each set is determined by the other. For, let  $\theta^1, \dots, \theta^4$  be the forms dual to  $X_1, \dots, X_4$ . Then  $d\theta^i = \sum_k -\omega_k^i \wedge \theta^k$ .

But  $d\theta^i = -\frac{1}{2}C^i_{jk}\theta^j \wedge \theta^k$  and  $\omega_k^i = \sum_j A^i_{kj}\theta^j$ . Thus  $-\frac{1}{2}C^i_{jk}\theta^j \wedge \theta^k = -\sum_k \frac{1}{2}(A^i_{kj} - A^i_{jk})\theta^j \wedge \theta^k$ , which implies that  $C^i_{jk} = A^i_{kj} - A^i_{jk}$ . Conversely,

permuting the indices cyclically and adding we get  $C^i_{jk} - C^k_{ij} + C^j_{ki} = -2A^i_{jk}$ .

The method of this chapter is a direct one. The Lie algebra  $\mathfrak{g}$  is determined by specifying the structure constants  $\{C^i_{jk}\}$  with respect to some basis  $\{X_i\}$ . At the same time the inner product on  $\mathfrak{g}$  is specified by taking  $\{X_i\}$  to be orthonormal. The  $\{C^i_{jk}\}$  are determined by the  $\{A^i_{jk}\}$ . In order for  $\mathfrak{g}$  to be a Lie Algebra the Jacobi identities must be satisfied by the  $C^i_{jk}$ ; and in order for the metric to be Einsteinian the Ricci tensor must satisfy the equations  $R_{ij} = R\delta_{ij}/4$ . The method of this chapter is to take the  $\{A^i_{jk}\}$  as unknowns, to set up the Jacobi and Einstein equations and to find all possible solutions for the  $A^i_{jk}$ .

Let  $\mathfrak{g}$  be a four-dimensional vector space. Our problem now is to determine all possible ways of making  $\mathfrak{g}$  into a solvable Lie algebra with an inner product, such that the Riemannian structure determined by this inner product is Einsteinian. For any Lie algebra structure on  $\mathfrak{g}$  we define  $\mathfrak{g}' = [\mathfrak{g}, \mathfrak{g}]$ ,  $\mathfrak{g}'' = [\mathfrak{g}', \mathfrak{g}']$ , etc. With any solvable Lie algebra structure and inner product on  $\mathfrak{g}$  it is possible to choose an orthonormal basis on  $\mathfrak{g}$  in one of the following seven ways. Bases chosen in the way of type  $n$ ) below will be called *admissible* for any  $\mathfrak{g}$  of that type for  $n = 1, \dots, 7$ .

- 1) If  $\dim \mathfrak{g}' = 3$ ,  $\dim \mathfrak{g}'' = 2$ ,  $\dim \mathfrak{g}''' = 1$ , then  $X_4 \in \mathfrak{g}'''$ ,  $X_3 \in \mathfrak{g}''$ ,  $X_2 \in \mathfrak{g}'$  and  $X_1 \perp \mathfrak{g}'$ .
- 2) If  $\dim \mathfrak{g}' = 3$ ,  $\dim \mathfrak{g}'' = 2$ ,  $\mathfrak{g}''' = 0$ , then  $X_3, X_4 \in \mathfrak{g}''$ ,  $X_2 \in \mathfrak{g}'$  and  $X_1 \perp \mathfrak{g}'$ .
- 3) If  $\dim \mathfrak{g}' = 3$ ,  $\dim \mathfrak{g}'' = 1$ , then  $X_4 \in \mathfrak{g}''$ ,  $X_3, X_2 \in \mathfrak{g}'$ ,  $X_1 \perp \mathfrak{g}'$ .
- 4) If  $\dim \mathfrak{g}' = 3$ ,  $\mathfrak{g}'' = 0$ , then  $X_4, X_3, X_2 \in \mathfrak{g}'$ ,  $X_1 \perp \mathfrak{g}'$ .
- 5) If  $\dim \mathfrak{g}' = 2$ ,  $\dim \mathfrak{g}'' = 1$ , then  $X_4 \in \mathfrak{g}''$ ,  $X_3 \in \mathfrak{g}'$ ,  $X_1, X_2 \perp \mathfrak{g}'$ .
- 6) If  $\dim \mathfrak{g}' = 2$ ,  $\mathfrak{g}'' = 0$ , then  $X_4, X_3 \in \mathfrak{g}'$ ,  $X_1, X_2 \perp \mathfrak{g}'$ .

$$[A_1, A_3] = \begin{bmatrix} 0 & \varphi\delta + \sigma\varepsilon & \sigma\mu - b\delta & -b\varepsilon - \varphi\mu \\ & 0 & \chi\mu & -\rho\mu \\ & & 0 & \rho\varepsilon - \delta\chi \\ & & & 0 \end{bmatrix},$$

$$[A_1, A_4] = \begin{bmatrix} 0 & \sigma\delta + \omega\varepsilon & \omega\mu - c\delta & -c\varepsilon - \sigma\mu \\ & 0 & \mu y - \varepsilon s & \delta s - \chi\mu \\ & & 0 & \chi\varepsilon - \delta y \\ & & & 0 \end{bmatrix},$$

$$[A_2, A_3] = \begin{bmatrix} 0 & -b\rho - c\chi & a\rho + \sigma f & a\chi - \rho f \\ & 0 & b^2 + \chi f - a\varphi & bc - \rho f - a\sigma \\ & & 0 & \rho c - b\sigma \\ & & & 0 \end{bmatrix},$$

$$[A_2, A_4] = \begin{bmatrix} 0 & -b\chi - cy & a\chi + \omega f - cs & ay + bs - \sigma f \\ & 0 & cb + yf - a\sigma & c^2 - \chi f - a\omega \\ & & 0 & \sigma c - b\omega \\ & & & 0 \end{bmatrix},$$

$$[A_3, A_4] = \begin{bmatrix} 0 & \sigma\rho + \omega\chi - \varphi\chi - \sigma y & b\chi - \sigma s - c\rho & by + \varphi s - c\chi \\ & 0 & c\varphi - b\sigma - \chi s & c\sigma + \rho s - b\omega \\ & & 0 & \sigma^2 + \chi^2 - \varphi\omega - \rho y \\ & & & 0 \end{bmatrix},$$

1.2.  $R_{1212} = 2(b\delta + c\varepsilon) - a^2 - b^2 - c^2,$   
 $R_{1313} = 2(\sigma\mu - b\delta) - b^2 - \varphi^2 - \sigma^2,$   
 $R_{1114} = -2(c\varepsilon + \sigma\mu) - c^2 - \sigma^2 - \omega^2,$   
 $R_{2323} = 2\chi f - a\varphi + b^2 - \rho^2 - \chi^2,$   
 $R_{2424} = -2\chi f - a\omega + c^2 - \chi^2 - y^2,$   
 $R_{3434} = \sigma^2 + \chi^2 - \varphi\omega - \rho y - s^2,$   
 $R_{1213} = \varphi(\delta - b) + \sigma(\varepsilon - c) - a(b + \delta) + c\mu,$   
 $R_{1312} = c\mu - a(\delta + b) + \varphi(\delta - b) - \sigma(c - \varepsilon),$   
 $R_{1214} = \sigma\delta + \omega(\varepsilon - c) - a(c + \varepsilon) - b(\mu + \sigma),$   
 $R_{1412} = -b\mu - a(\varepsilon + c) - \sigma(b - \delta) - \omega(c - \varepsilon),$   
 $R_{1223} = -2b\rho - 2c\chi + cf,$   
 $R_{2312} = -\varepsilon f - \rho(b - \delta) - \chi(c - \varepsilon),$   
 $R_{1224} = -b(2\chi + f) - 2cy,$   
 $R_{2412} = \delta f - \chi(b - \delta) - y(c - \varepsilon),$   
 $R_{1234} = \sigma(\rho - y) + \chi(\omega - \varphi) - cs,$

$$\begin{aligned}
R_{3412} &= -fa - s(c - \varepsilon) , \\
R_{1314} &= \omega(\mu - \sigma) - \varphi(\mu + \sigma) - b(c + \varepsilon) - c\delta , \\
R_{1413} &= -b\varepsilon - \varphi(\mu + \sigma) - c(b + \delta) - \omega(\sigma - \mu) , \\
R_{1323} &= \rho(a - \varphi) + \sigma(2f - \chi) , \\
R_{2313} &= -\rho\varphi + \chi(2\mu - \sigma) , \\
R_{1324} &= a\chi + \omega f - cs - \sigma y - \varphi(\chi + f) , \\
R_{2413} &= -\rho\mu - \chi\varphi - y(\sigma - \mu) , \\
R_{1334} &= b\chi - c\rho - 2\sigma s , \\
R_{3413} &= \rho\varepsilon - \delta\chi - f(b + \delta) - s(\sigma - \mu) , \\
R_{1423} &= a\chi - \varphi f - \sigma\rho - \omega(\chi - f) , \\
R_{2314} &= \mu y - \varepsilon s - \chi\omega - \rho(\mu + \sigma) , \\
R_{1424} &= y(a - \omega) - \sigma(\chi + 2f) + bs , \\
R_{2414} &= \delta s - y\omega - \chi(2\mu + \sigma) , \\
R_{1434} &= by - c\chi + s(\varphi - \omega) , \\
R_{3414} &= \chi\varepsilon - \delta y - s\omega - f(c + \varepsilon) , \\
R_{2324} &= cb - a\sigma + y(f - \chi) - \rho(\chi + f) , \\
R_{2423} &= bc - a\sigma - \rho(\chi + f) + y(f - \chi) , \\
R_{2334} &= c\varphi - b\sigma - 2\chi s . \\
R_{3423} &= c\varphi - b\sigma - s(\chi - f) , \\
R_{2434} &= c\sigma - b\omega + s(\rho - y) , \\
R_{3424} &= c\sigma - b\omega - sy .
\end{aligned}$$

I.3. Einstein equations:  $R_{ij} = R \delta_{ij}/4$ .

- 1)  $R_{11} = -(a^2 + 2b^2 + 2c^2 + 2\sigma^2 + \varphi^2 + \omega^2) ,$
- 2)  $R_{22} = 2(b\delta + c\varepsilon) - a(\varphi + \omega) - a^2 - \rho^2 - 2\chi^2 - y^2 ,$
- 3)  $R_{33} = 2(\sigma\mu - b\delta + \chi f) - \rho y - \varphi(a + \omega) - \varphi^2 - \rho^2 - s^2 ,$
- 4)  $R_{44} = -2(c\varepsilon + \sigma\mu + \chi f) - \rho y - \omega(a + \varphi) - \omega^2 - y^2 - s^2 ,$
- 5)  $R_{12} = \rho(a - \varphi) + y(a - \omega) - 2\sigma\chi + bs ,$
- 6)  $R_{13} = b(2\rho + y) + c(\chi - f) + s(\varphi - \omega) ,$
- 7)  $R_{14} = b(\chi + f) + c(2y + \rho) + 2\sigma s ,$
- 8)  $R_{23} = \varphi(\delta - b) + \sigma\varepsilon - a(b + \delta) + c\mu - b\omega + s(\rho - y) ,$
- 9)  $R_{24} = \sigma\delta + \omega(\varepsilon - c) - a(c + \varepsilon) - b\mu - c\varphi + 2\chi s ,$
- 10)  $R_{34} = \omega(\mu - \sigma) - \rho(\chi + f) + y(f - \chi) - \varphi(\mu + \sigma) - c\delta - b\varepsilon - a\sigma .$

$$\text{I.4. } R = -((a + \varphi)^2 + 2b^2 + (\rho + y)^2 + (a + \omega)^2 + (\varphi + \omega)^2 + 2c^2 + 2\sigma^2 + 2\chi^2 + \rho^2 + 2s^2 + y^2) .$$

I.5. Bianchi identities:

- 11)  $0 = (f - \chi)(c + \varepsilon) - \rho(b + \delta) ,$
- 12)  $0 = (\chi + f)(b + \delta) + y(c + \varepsilon) ,$
- 13)  $0 = \sigma(\rho - y) + \chi(\omega - \varphi) + af - s\varepsilon ,$
- 14)  $0 = a\rho + 2\sigma f - 2\chi\mu ,$
- 15)  $0 = a\chi + f(\omega - \varphi) - cs + \mu(\rho - y) ,$
- 16)  $0 = (\chi + f)(b + \delta) - s(\sigma + \mu) - \rho(c + \varepsilon) ,$
- 17)  $0 = a\chi - f(\varphi - \omega) + \mu(\rho - y) + \varepsilon s ,$
- 18)  $0 = ay + s(b - \delta) - 2\sigma f + 2\chi\mu ,$
- 19)  $0 = y(b + \delta) + \varphi s + (f - \chi)(c + \varepsilon) ,$
- 20)  $0 = s(\chi + f) ,$
- 21)  $0 = \rho s .$

II. We now find all possible solutions up to isomorphic Lie algebras with isometric Riemannian structures. Each solution is described in detail as it arises. The results will be summarized in a theorem at the end of the chapter. In the rest of this chapter equations numbered 1) through 21) refer to the numbered equations in the above steps I.3 and I.5.

II.1.  $R = 0$ . From the above equation I.4, we get

$$a, \varphi, b, y, \omega, c, \sigma, \chi, \rho, s = 0 .$$

The Einstein equations all become identities,  $0 = 0$ , and the Bianchi equations become (listing only non-identities)

- 11)  $0 = f\varepsilon ,$       16)  $0 = f\delta ,$
- 12)  $0 = f\delta ,$       19)  $0 = f\varepsilon .$

These equations have two sets of solutions:

- a)  $f = 0$ ,  $\varepsilon$  and  $\delta$  arbitrary,
- b)  $\varepsilon = \delta = 0$ ,  $f$  arbitrary.

In either case there are no conditions on  $\mu$ , so  $\mu$  is arbitrary.

In case a) the bracket operations become

$$\text{(III.4)} \quad \begin{aligned} [X_1, X_2] &= -\delta X_3 - \varepsilon X_4 , & [X_2, X_3] &= 0 , \\ [X_1, X_3] &= \delta X_2 - \mu X_4 , & [X_2, X_4] &= 0 , \\ [X_1, X_4] &= \varepsilon X_2 + \mu X_3 , & [X_3, X_4] &= 0 . \end{aligned}$$



In case b) they become

$$(III.5) \quad \begin{aligned} [X_1, X_2] &= 0, & [X_2, X_3] &= -fX_4, \\ [X_1, X_3] &= -\mu X_4, & [X_2, X_4] &= fX_3, \\ [X_1, X_4] &= \mu X_3, & [X_3, X_4] &= 0. \end{aligned}$$

We observe that the solutions of type (III.4) can be transformed into solutions of type (III.5) by an admissible change of basis. To see this, consider  $Ad(X_1)$  restricted to span  $(X_2, X_3, X_4)$  for arbitrarily given  $\varepsilon, \delta$  and  $\mu$ . The matrix of  $Ad(X_1)$  with respect to  $X_2, X_3, X_4$  is

$$\begin{bmatrix} 0 & \delta & \varepsilon \\ -\delta & 0 & \mu \\ -\varepsilon & -\mu & 0 \end{bmatrix}.$$

Consider the standard inner product  $\langle A, B \rangle = -\text{Trace } AB$  on  $so(3)$ . Then the adjoint representation of  $SO(3)$  has  $SO(3)$  acting on  $so(3)$  as orthogonal transformations with respect to this inner product, and  $SO(3)$  acts transitively on the unit sphere in  $so(3)$ . Hence there exists an  $A \in SO(3)$  such that

$$A \begin{bmatrix} 0 & \delta & \varepsilon \\ -\delta & 0 & \mu \\ -\varepsilon & -\mu & 0 \end{bmatrix} A^{-1} = \sqrt{\delta^2 + \varepsilon^2 + \mu^2} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}.$$

Thus the desired change of basis is given by  $A$ . If  $X_1, \dots, X_4$  are now the new admissible basis, then

$$(III.6) \quad \begin{aligned} [X_1, X_2] &= 0, & [X_2, X_3] &= 0, \\ [X_1, X_3] &= -tX_4, & [X_2, X_4] &= 0, \\ [X_1, X_4] &= tX_3, & [X_3, X_4] &= 0, \end{aligned}$$

where  $t = \sqrt{\delta^2 + \varepsilon^2 + \mu^2}$ . This is a solution of type (III.5) with  $\mu = t, f = 0$ .

In a solution of type (III.5), let  $\mu^2 + f^2 > 0$  be given. Let  $X = (\mu^2 + f^2)^{-1/2}(\mu X_1 + f X_2)$ ,  $Y = (\mu^2 + f^2)^{-1/2}(f X_1 - \mu X_2)$ , where  $X_1, \dots, X_4$  are an admissible basis for this solution. Then  $X, Y, X_3, X_4$  are also an admissible basis for the same solution, but with respect to this basis the bracket operations are the same as (III.6) with  $t = \sqrt{\mu^2 + f^2}$ . Thus we need consider only solutions of type (III.6).

Let  $X_1, \dots, X_4$  be an admissible basis for the Lie algebra  $\mathfrak{g}$  defined by (III.6) with  $t = 1$ . For any  $t > 0$  equations (III.6) are satisfied by  $tX_1, X_2, X_3, X_4$ . Hence equations (III.6) define a 1-parameter family of inner products on a single Lie algebra  $\mathfrak{g}$ .

**Proposition 1.** *The left-invariant Riemannian metric defined by any of these inner products is flat.*

the orthogonal complement of  $X_2$  in  $\mathfrak{g}'$ .  $\text{Ad}(X_1)V$  must have dimension 2 since  $\dim \mathfrak{g}' = 3$ . Thus  $V \cap \text{Ad}(X_1)V \neq 0$  and we may pick  $X_3 \in V$  such that  $\text{Ad}(X_1)X_3 \in V$ , which makes  $b + \delta = 0$ .  $X_4$  is now determined up to sign.

We now have

$$(III.11) \quad \begin{aligned} [X_1, X_2] &= aX_2, & [X_2, X_3] &= 0, \\ [X_1, X_3] &= \varphi X_3 + (\sigma - \mu)X_4, & [X_2, X_4] &= 0, \\ [X_1, X_4] &= 2cX_2 + (\mu + \sigma)X_3 + \omega X_4, & [X_3, X_4] &= 0. \end{aligned}$$

The Bianchi equations are all satisfied.

**Claim.**  $c = 0$ .

*Proof.* Suppose  $c \neq 0$ . Then  $\mu = -\sigma$ ,  $\varphi = -2a$  by 8) and 9). If  $\sigma \neq 0$ , then  $a = -2\omega$  by 10) and  $R/4 = 2c^2 + 6\omega^2$  by 2), which is impossible since  $R < 0$ . Thus  $\sigma = 0$ . From 1) + 4) - 2) - 3),

$$(III.12) \quad c^2 = 3a^2 + R/4.$$

Putting this into 1) gives  $\omega^2 = -3R/4 - 11a^2$ . Putting these into 4) gives  $0 = a(5a + \omega)$ . But  $a \neq 0$ , since  $\dim \mathfrak{g}' = 3$  (look at equations (III.11)). Thus  $\omega = -5a$ . Putting this back into 1) gives  $-R/2 = 24a^2$ . Consequently, from (III.12) we get  $c^2 = 3R/16 < 0$ , which is impossible. Hence  $c = 0$ .

Now  $0 = \mu(\omega - \varphi) - \sigma(\omega + \varphi + a)$  by 10); and 4) - 3) gives  $0 = 4\sigma\mu + (\omega - \varphi)(a + \omega + \varphi)$ . Multiply through by  $\sigma$  and use 10) above to get  $0 = \mu(4\sigma^2 + (\omega - \varphi)^2)$ .

Suppose  $\mu \neq 0$ . Then  $\sigma = 0$ ,  $\omega = \varphi$ , and  $0 = a(a - \varphi)$  by 1) - 3). Thus  $a = \varphi$  since  $a \neq 0$ . Hence  $a^2 = -R/12$  by 1), and all 21 equations are now satisfied.

Suppose  $\mu = 0$ . Then  $0 = \sigma(\omega + \varphi + a)$  by 10). If  $\omega + \varphi + a = 0$ , then  $R = 0$  by 2), which is not the case. Thus  $\sigma = 0$ , and  $\omega = \varphi$  by 4) - 3). Hence we get the same solution as above, except with  $\mu = 0$ .

The solutions we have obtained in this case are: Set  $R = -12$ ; then  $a^2 = 1$ ,  $\varphi = \omega = a$ ,  $\mu$  arbitrary;  $\rho, \chi, f, y, s, c, \sigma, b, \delta, \varepsilon = 0$ . The bracket operations are

$$(III.13) \quad \begin{aligned} [X_1, X_2] &= aX_2, & [X_2, X_3] &= 0, \\ [X_1, X_3] &= aX_3 - \mu X_4, & [X_2, X_4] &= 0, \\ [X_1, X_4] &= \mu X_3 + aX_4, & [X_3, X_4] &= 0. \end{aligned}$$

**Proposition 4.** *For any value of  $\mu$  and any choice of  $a = \pm 1$ , the space defined by equations (III. 13) is a space of constant negative curvature equal to  $-1$ . Thus as Riemannian manifolds each of these solutions is isometric to real hyperbolic space.*

*Proof.* Directly compute the  $R_{ijkl}$  from pages 332 and 333.

The question remains as to which of the above solutions are non-isomorphic Lie algebras. Let  $X_1, \dots, X_4$  be a given basis with structure constants  $a$  and  $\mu$  as in (III.13). Then the orthogonal change of basis to  $-X_1, X_2, X_4, X_3$  changes  $a$  to  $-a$  and leaves  $\mu$  the same, while the orthogonal change of basis to  $X_1, X_2, X_4, X_3$  leaves  $a$  the same but changes  $\mu$  to  $-\mu$ . Hence, in order to determine non-isomorphic solutions, it suffices to take  $a = 1$  and  $\mu \geq 0$ .

Let  $\mathfrak{g}(\mu)$  be the Lie algebra defined by equations (III.13) for each value of  $\mu \geq 0$ .

**Proposition 5.**  $\mathfrak{g}(\mu)$  is isomorphic to  $\mathfrak{g}(\delta)$  if and only if  $\mu = \delta$ .

*Proof.* Let  $X$  be any element of  $\mathfrak{g}(\mu)$  not contained in  $\mathfrak{g}(\mu)'$ , and regard  $\text{Ad}(X)$  as a linear transformation of  $\mathfrak{g}(\mu)' \rightarrow \mathfrak{g}(\mu)'$ . As in the proof of Proposition 3 the eigenvalues of  $\text{Ad}(X)$  are  $a_1$  and  $a_1(1 \pm i\mu)$ , where  $|a_1|$  is the length of the component of  $X$  normal to  $\mathfrak{g}(\mu)'$ . In the same way, if  $Y \in \mathfrak{g}(\delta)$ , but  $Y \notin \mathfrak{g}(\delta)'$ , and  $|b_1|$  = length of the component of  $Y$  normal to  $\mathfrak{g}(\delta)'$ , then the eigenvalues of  $\text{Ad}(Y): \mathfrak{g}(\delta)' \rightarrow \mathfrak{g}(\delta)'$  are  $b_1$  and  $b_1(1 \pm i\delta)$ .

Suppose there exist such  $X$  and  $Y$  with equal eigenvalues, as there must if  $\mathfrak{g}(\mu) \cong \mathfrak{g}(\delta)$ . Then necessarily  $a_1 = b_1$  and consequently  $\mu = \delta$ .

A matrix representation of  $\mathfrak{g}(\mu)$  is given by

$$\begin{aligned}
 X_1 &= \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \mu & 0 & 0 \\ 0 & -\mu & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix}, & X_2 &= \left[ \begin{array}{ccc|cc} & \circ & & -1 & 1 \\ & & & 0 & 0 \\ & & & 0 & 0 \\ \hline 1 & 0 & 0 & & \\ 1 & 0 & 0 & & \circ \end{array} \right], \\
 X_3 &= \left[ \begin{array}{ccc|cc} & \circ & & 0 & 0 \\ & & & -1 & 1 \\ & & & 0 & 0 \\ \hline 0 & 1 & 0 & & \\ 0 & 1 & 0 & & \circ \end{array} \right], & X_4 &= \left[ \begin{array}{ccc|cc} & \circ & & 0 & 0 \\ & & & 0 & 0 \\ & & & -1 & 1 \\ \hline 0 & 0 & 1 & & \\ 0 & 0 & 1 & & \circ \end{array} \right].
 \end{aligned}$$

Note that this representation represents  $\mathfrak{g}(\mu)$  as a subalgebra of  $so(4,1)$ .

A simpler matrix representation of  $\mathfrak{g}(0)$ , good for any dimension  $n$ , is given by the set of all  $n \times n$  real matrices which have all rows but the first equal to 0. The orthonormal basis  $X_1, \dots, X_n$  is defined to be

$$X_i = \begin{bmatrix} \delta_{i1} & \dots & \delta_{in} \\ 0 & \dots & 0 \\ \vdots & & \vdots \\ 0 & \dots & 0 \end{bmatrix}, \quad i = 1, \dots, n,$$

and the corresponding group is

$$\left\{ \begin{bmatrix} \chi_1 & & & \chi_n \\ 0 & 1 & 0 & \cdots & 0 \\ & & 1 & & 0 \\ & & & & 1 \end{bmatrix} \chi_1, \dots, \chi_n \in \mathbb{R}, \chi_1 > 0 \right\}.$$

**Case B.**  $\dim \mathfrak{g}' = 2$ .

In this case, with respect to an admissible basis,  $a = 0$ ,  $\delta = -b$ ,  $\varepsilon = -c$ .

$$(III.14) \quad \begin{aligned} [X_1, X_2] &= 2bX_3 + 2cX_4, & [X_2, X_3] &= \rho X_3 + (\chi - f)X_4, \\ [X_1, X_3] &= \varphi X_3 + (\sigma - \mu)X_4, & [X_2, X_4] &= (\chi + f)X_3 + yX_4, \\ [X_1, X_4] &= (\mu + \sigma)X_3 + \omega X_4, & [X_3, X_4] &= sX_4. \end{aligned}$$

**Claim.**  $s = 0$ .

*Proof.* Suppose  $s \neq 0$ . Then  $\rho = 0$  by 21),  $\chi + f = 0$  by 20),  $\varphi = 0$  by 19),  $\mu + \sigma = 0$  by 16), and  $b - \delta = 0$  by 18). But this means that  $\dim \mathfrak{g}' \leq 1$  (look at equations (III.14)). Hence  $s = 0$ .

Now  $\mathfrak{g}'$  is abelian, and  $X_3$  and  $X_4$  are determined only up to a rotation. Pick  $X_4$  to be a multiple of  $[X_1, X_2]$ ; then  $b = 0$ .

**Claim.**  $c = 0$ .

*Proof.* Suppose  $c \neq 0$ . Then  $\chi - f = 0$  by 6),  $2y + \rho = 0$  by 7),  $\mu - \sigma = 0$  by 8), and  $2\omega + \varphi = 0$  by 9). Thus 10) and 13) become

$$10) \quad y\chi + \omega\sigma = 0,$$

$$13) \quad \omega\chi - y\sigma = 0,$$

which have a non-trivial solution in  $\chi$  and  $\sigma$  if and only if  $\omega^2 + y^2 = 0$ .

Suppose  $\omega^2 + y^2 = 0$ , i.e.,  $\omega = y = 0$ . Then  $\rho = \varphi = 0$  by 7) and 9), and  $R/4 = 2(\sigma^2 + \chi^2)$  by 3), which is impossible since  $R < 0$ . Suppose  $\omega^2 + y^2 > 0$ . Then  $\chi = 0 = \sigma$ . Thus  $y^2 = \omega^2$  by 1) and 2), and  $y^2 = -R/16$  by 3). But then  $16c^2 = R/2$  by 2), which is impossible. Hence  $c = 0$ .

The bracket operations now look as follows:

$$\begin{aligned} [X_1, X_2] &= 0, & [X_2, X_3] &= \rho X_3 + (\chi - f)X_4, \\ [X_1, X_3] &= \varphi X_3 + (\sigma - \mu)X_4, & [X_2, X_4] &= (\chi + f)X_3 + yX_4, \\ [X_1, X_4] &= (\mu + \sigma)X_3 + \omega X_4, & [X_3, X_4] &= 0. \end{aligned}$$

Regard  $Ad(X_1)$  and  $Ad(X_2)$  as linear transformations of  $\mathfrak{g}' \rightarrow \mathfrak{g}'$ . Then the matrix of each with respect to the basis  $X_3, X_4$  is:

$$Ad(X_1) = \begin{bmatrix} \varphi & \sigma + \mu \\ \sigma - \mu & \omega \end{bmatrix}, \quad Ad(X_2) = \begin{bmatrix} \rho & \chi + f \\ \chi - f & y \end{bmatrix}.$$

Let  $V = \text{span}(X_1, X_2)$ . It is possible to choose an orthonormal basis of  $V$  so that  $\mu + \sigma = 0$ . To see this, suppose  $\mu + \sigma \neq 0$ , and let

$$F(t) = \cos t + \frac{(f + \chi)}{(\mu + \sigma)} \sin t.$$

Then there exists a  $t_0, 0 < t_0 < \pi$ , such that  $F(t_0) = 0$ . Let  $Y = X_1 \cos t_0 + X_2 \sin t_0$ . Then

$$\begin{aligned} Ad(Y) &= \cos t_0 Ad(X_1) + \sin t_0 Ad(X_2) \\ &= \begin{bmatrix} * & (\sigma + \mu) \cos t_0 + (\chi + f) \sin t_0 \\ * & * \end{bmatrix} = \begin{bmatrix} * & 0 \\ * & * \end{bmatrix}. \end{aligned}$$

Label a new basis  $X_1 = Y$  and  $X_2 \in V, \perp Y$ , unit length. Then  $[X_1, X_2] = 0$ , and

$$Ad(X_1) = \begin{bmatrix} \varphi & 0 \\ 2\sigma & \omega \end{bmatrix}, \quad Ad(X_2) = \begin{bmatrix} \rho & \chi + f \\ \chi - f & y \end{bmatrix},$$

i.e.,  $\mu = -\sigma$ .

Now  $0 = \sigma(\chi + f)$  by 14). We must now consider two cases, namely,  $\chi + f = 0$  and  $\chi + f \neq 0$ .

Case 1.  $\chi + f = 0$ .

Choose  $t, 0 \leq t < \pi$ , such that  $\sigma \cos t + \chi \sin t = 0$ . Let  $Y_1 = X_1 \cos t + X_2 \sin t, Y_2 = -X_1 \sin t + X_2 \cos t$ . Then

$$Ad(Y_1) = \cos t Ad(X_1) + \sin t Ad(X_2) = \begin{bmatrix} * & 0 \\ 0 & * \end{bmatrix}, \quad Ad(Y_2) = \begin{bmatrix} * & 0 \\ * & * \end{bmatrix}.$$

Thus we may assume that  $\sigma = 0$ .

**Claim.**  $\chi = 0$ .

*Proof.* Suppose  $\chi \neq 0$ . Then  $y = 0$  by 10), and  $\omega = \varphi$  by 13). Thus  $\varphi \neq 0$  by 1), and  $\rho = 0$  by 5). But then  $\varphi = 0$  by 2) and 3), a contradiction. Hence  $\chi = 0$ .

Only equations 1) through 5) remain to be solved. Combing 1), 2), 3) and 4) we get  $0 = \rho y + \varphi \omega$ . Equations 1) though 5) are then equivalent to:

- 1)  $-R/4 = \varphi^2 + \omega^2,$  4)  $-R/4 = \omega^2 + y^2,$
- 2)  $-R/4 = \rho^2 + y^2,$  5)  $0 = \varphi \rho + \omega y,$
- 3)  $-R/4 = \varphi^2 + \rho^2,$  6)  $0 = \rho y + \varphi \omega.$

Set  $R = -4$ . Then  $(\varphi, \rho), (\omega, y), (\rho, y)$  and  $(\varphi, \omega)$  are unit vectors, with  $(\varphi, \rho) \perp (\omega, y)$  and  $(\rho, y) \perp (\varphi, \omega)$ . Therefore, all possible solutions are given by:

$$\varphi = \cos t, \quad \omega = \sin t, \quad \rho = \mp \sin t, \quad y = \pm \cos t, \quad \text{for } 0 \leq t \leq 2\pi,$$

and with the signs related; and  $a, b, \delta, c, \epsilon, \sigma, \mu, \chi, f, s = 0$ .

$$(III.15) \quad \begin{aligned} [X_1, X_2] &= 0, & [X_2, X_3] &= -(\pm)X_3 \sin t, \\ [X_1, X_3] &= X_3 \cos t, & [X_2, X_4] &= \pm X_4 \cos t, \\ [X_1, X_4] &= X_4 \sin t, & [X_3, X_4] &= 0. \end{aligned}$$

Consider the solution for  $t = 0$ , and let  $t$ ,  $0 \leq t \leq 2\pi$ , be given. Let  $Y_1 = X_1 \cos t + X_2 \sin t$  and  $Y_3 = -(\pm)X_1 \sin t + (\pm)X_2 \cos t$ . Then

$$\begin{aligned} [Y_1, Y_2] &= 0, & [Y_2, X_3] &= -(\pm)X_3 \sin t, \\ [Y_1, X_3] &= X_3 \cos t, & [Y_2, X_4] &= (\pm)X_4 \cos t, \\ [Y_1, X_4] &= X_4 \sin t, & [X_3, X_4] &= 0. \end{aligned}$$

Hence, by an orthonormal change of basis, we obtain the solution for  $t$ . Thus we have only one solution, which we take to be for  $t = 0$ , and the “+” sign. The bracket operations are then:

$$(III.16) \quad \begin{aligned} [X_1, X_2] &= 0, & [X_2, X_3] &= 0, \\ [X_1, X_3] &= X_3, & [X_2, X_4] &= X_4, \\ [X_1, X_4] &= 0, & [X_3, X_4] &= 0. \end{aligned}$$

Let  $\mathcal{D}_1 = \text{span}(X_1, X_3)$ , and  $\mathcal{D}_2 = \text{span}(X_2, X_4)$ . Then  $\mathcal{D}_1$  and  $\mathcal{D}_2$  are clearly ideals in  $\mathfrak{g}$  and  $\mathfrak{g} = \mathcal{D}_1 \oplus \mathcal{D}_2$ . Examining the curvature tensor  $R_{ijkl}$  it is seen that the Riemannian metric is the product of the induced metrics on  $\mathcal{D}_1$  and  $\mathcal{D}_2$ , and the induced metrics on  $\mathcal{D}_i$ ,  $i = 1, 2$ , are of constant curvature equal to  $-1$ .

This completes Case 1, where we assumed that  $\chi + f = 0$ . We must now consider Case 2. However, we shall not get any new solutions.

Case 2.  $\chi + f \neq 0$ .

Now  $\sigma = 0$  by 14). Thus  $Ad(X_1)$  is already diagonal.  $\omega = \varphi$  by 13) and 15) and the assumption that  $\chi + f \neq 0$ . Thus  $\varphi^2 = -R/8$  by 1). In particular,  $\varphi \neq 0$ . Thus  $\rho + y = 0$  by 5).

Now  $0 = yf$  by 10), and  $0 = \chi f$  by 4). But  $\chi^2 + y^2 = -R/8$  by 2), and so  $\chi$  and  $y$  cannot both be zero. Consequently,  $f = 0$ . Thus  $\chi \neq 0$ , since  $\chi + f \neq 0$ .

Set  $R = -8$ . Then  $\varphi^2 = 1$  by 1), and  $\chi^2 + y^2 = 1$  by 2). Hence in this case we have found all possible solutions which can be listed as follows:  $R = -8$ ,  $\varphi^2 = 1$ ,  $\omega^2 = 1$ ,  $\omega = \varphi$ ,  $\chi = \cos t$ ,  $y = \sin t$ ,  $0 \leq t \leq 2\pi$ ,  $\rho = -y$ ,  $a, b, c, \delta, \varepsilon, f, \sigma, \mu, s = 0$ .

The bracket operations are:

$$(III.17) \quad \begin{aligned} [X_1, X_2] &= 0, & [X_2, X_3] &= -X_3 \sin t + X_4 \cos t, \\ [X_1, X_3] &= (\pm)X_3, & [X_2, X_4] &= X_3 \cos t + X_4 \sin t, \\ [X_1, X_4] &= (\pm)X_4, & [X_3, X_4] &= 0. \end{aligned} \quad (\text{Signs are related.})$$

**Claim.** These solutions are all the same as the solution given by equations (III.15) given on page 346. That is, by making an appropriate orthogonal change of basis in any of the solutions defined by (III.17), we will get the same solution defined by (III.16).

*Proof.* We show first that all solutions at (III.17) are the same as the one at (III.17) for  $t = \pi/2$  and the “+” sign, and then that this solution is the same as the one at (III.15) for  $t = \pi/4$  and the “-” sign, except for a scale change which comes from our choice of  $R = -4$  at (III.15) and  $R = -8$  at (III.17).

Let  $X_1, \dots, X_4$  be an admissible basis for the solution at (III.17) for  $t = \pi/2$  and the “+” sign. Let  $Y_1 = (\pm)X_1, Y_2 = X_2, Y_3 = X_3 \cos \beta + X_4 \sin \beta, Y_4 = -X_3 \sin \beta + X_4 \cos \beta$ , where  $\beta$  is to be determined. Then

$$(III.18) \quad \begin{aligned} [Y_1, Y_2] &= 0, & [Y_2, Y_3] &= -Y_3 \cos 2\beta + Y_4 \sin 2\beta, \\ [Y_1, Y_3] &= (\pm)Y_3, & [Y_2, Y_4] &= Y_3 \sin 2\beta + Y_4 \cos 2\beta, \\ [Y_1, Y_4] &= (\pm)Y_4, & [Y_3, Y_4] &= 0. \end{aligned}$$

Given  $t, 0 \leq t \leq 2\pi$ , choose  $\beta$  so that  $\cos 2\beta = \sin t$  and  $\sin 2\beta = \cos t$ . In fact, take  $2\beta = \pi/2 - t$ . Equations (III.18) now show that the solution at (III.17) for  $t = \pi/2$  and the “+” sign is the same as any solution at (III.17). It is obvious that this solution is the same as the one at (III.15) for  $t = \pi/4$  and the “-” sign.

*Case C.*  $\dim \mathfrak{g}' = 1$ .

In this case, with respect to an admissible basis,  $a, b, \delta, \varphi, c + \varepsilon, \mu + \sigma, \rho, \chi + f = 0$ . Thus:

$$\begin{aligned} [X_1, X_2] &= 2cX_4, & [X_2, X_3] &= 2\chi X_4, \\ [X_1, X_3] &= 2\sigma X_4, & [X_2, X_4] &= yX_4, \\ [X_1, X_4] &= \omega X_4, & [X_3, X_4] &= sX_4. \end{aligned}$$

There are no solutions in this case.

Equations 7) and 8) are linear in  $y$  and  $\sigma$ , and in  $c$  and  $s$ : 7)  $0 = cy + s\sigma$ , 8)  $0 = sy + 2c\sigma$ . Consider the two cases: i)  $2c^2 - s^2 = 0$  and ii)  $2c^2 - s^2 \neq 0$ .

i)  $2c^2 - s^2 = 0$ . Suppose also that  $2\sigma^2 - y^2 = 0$ . Then  $0 = \omega^2 - 2\chi^2$  by 1) and 2). But  $-R/4 = \omega^2 - 2\chi^2$  by 4). Thus  $2\sigma^2 - y^2 \neq 0$ . But then  $\chi = \omega = 0$  by 5) and 6), and  $s = c = 0$  by 7) and 8). Thus  $0 = 2\sigma^2 - y^2$  by 1) and 2), a contradiction. Hence i) is impossible.

ii)  $2c^2 - s^2 \neq 0$ . Then  $y = \sigma = 0$  by 7) and 8), and  $\chi = \omega = 0$  by 6) and 9). Thus  $2c^2 - s^2 = 0$  by 1) and 3), a contradiction. Hence there are no solutions when  $\dim \mathfrak{g}' = 1$ .

**2.** The following theorem summarizes the results obtained in § 1. A matrix representation for each Lie algebra is given in § 1 and in the introduction, and so is not repeated here.

**Theorem.** *Let  $G$  be a four-dimensional Lie group with a left-invariant Riemannian metric. Then  $G$  is an Einstein space if and only if its Lie algebra  $\mathfrak{g}$  is one of the following solvable Lie algebras with the inner product defined, up to change in scale, by  $X_1, \dots, X_4$  being an orthonormal basis. Distinct values of  $t$  define non-isomorphic Lie algebras.*

$$\begin{aligned} 1. \quad & [X_1, X_2] = 0, \quad [X_2, X_3] = 0, \\ & [X_1, X_3] = X_4, \quad [X_2, X_4] = 0, \\ & [X_1, X_4] = -X_3, \quad [X_3, X_4] = 0. \end{aligned}$$

*As a Riemannian space this is flat.*

$$\begin{aligned} 2. \quad & [X_1, X_2] = X_2 - tX_3, \quad [X_2, X_3] = 2X_4, \\ & [X_1, X_3] = tX_2 + X_3, \quad [X_2, X_4] = 0, \\ & [X_1, X_4] = 2X_4, \quad [X_3, X_4] = 0, \quad 0 \leq t < \infty. \end{aligned}$$

*As a Riemannian space each of these is a hermitian hyperbolic space with sectional curvature  $K$  satisfying  $-1 \geq K \geq -4$ .*

$$\begin{aligned} 3. \quad & [X_1, X_2] = X_2, \quad [X_2, X_3] = 0, \\ & [X_1, X_3] = X_3 - tX_4, \quad [X_2, X_4] = 0, \\ & [X_1, X_4] = tX_3 + X_4, \quad [X_3, X_4] = 0, \quad 0 \leq t < \infty. \end{aligned}$$

*As a Riemannian space each of these is a real hyperbolic space with constant curvature  $K$  equal to  $-1$ .*

$$\begin{aligned} 4. \quad & [X_1, X_2] = 0, \quad [X_2, X_3] = 0, \\ & [X_1, X_3] = X_3, \quad [X_2, X_4] = X_4, \\ & [X_1, X_4] = 0, \quad [X_3, X_4] = 0. \end{aligned}$$

*This Lie algebra is the direct sum of a two-dimensional Lie algebra with itself, and the Riemannian space is the direct product of a two-dimensional solvable group manifold, of constant curvature  $K$  equal to  $-1$ , with itself.*

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